

# Linearizability via Order Extension Theorems

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foundational results  
for  
verifying linearizability

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a glimpse into  
unpublished results and  
some open problems

foundational results  
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# Inspiration (queue)

## Queue sequential specification (axiomatic)

**s** is a legal queue sequence

iff

1. **s** is a legal pool sequence, and

2.  $\text{enq}(x) <_{\mathbf{s}} \text{enq}(y) \wedge \text{deq}(y) \in \mathbf{s} \Rightarrow \text{deq}(x) \in \mathbf{s} \wedge \text{deq}(x) <_{\mathbf{s}} \text{deq}(y)$

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precedence order

# Inspiration

As well as  
Reducing Linearizability to  
State Reachability  
[Bouajjani, Emmi, Enea, Hamza]  
ICALP15 + ...

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Extract a set of violations  $V$ , relations on  $\Sigma$ , such that  $\mathbf{s} \in S$  iff  $\mathbf{s}$  has no violations

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we build  
CV iteratively  
from  $V$

legal sequence

concurrent history

# Pool without empty removals

## Pool sequential specification (axiomatic)

**s** is a legal pool (without empty removals) sequence

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$$1. \text{rem}(x) \in \mathbf{s} \Rightarrow \text{ins}(x) \in \mathbf{s} \wedge \text{ins}(x) <_{\mathbf{s}} \text{rem}(x)$$



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infinite  
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infinitely many CV violations

$$\text{ins}(x_1) <_{\mathbf{h}} \text{rem}(\perp) \wedge \text{ins}(x_2) <_{\mathbf{h}} \text{rem}(x_1) \wedge \dots \wedge \text{ins}(x_{n+1}) <_{\mathbf{h}} \text{rem}(x_n) \wedge \text{rem}(\perp) <_{\mathbf{h}} \text{rem}(x_{n+1})$$

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1.  $\text{deq}(x) \in \mathbf{h} \Rightarrow \text{enq}(x) \in \mathbf{h} \wedge \text{deq}(x) \not<_{\mathbf{h}} \text{enq}(x)$
2. ... ..
3.  $\text{enq}(x) <_{\mathbf{h}} \text{enq}(y) \wedge \text{deq}(y) \in \mathbf{h} \Rightarrow \text{deq}(x) \in \mathbf{h} \wedge \text{deq}(y) \not<_{\mathbf{h}} \text{deq}(x)$

infinite  
inductive  
violations

# Queue

$\forall$  violations  
 $\text{rem}(x) <_{\mathbf{s}} \text{ins}(x)$   
and  
 $\text{ins}(x) <_{\mathbf{s}} \text{rem}(\perp) <_{\mathbf{s}} \text{rem}(x)$   
and  
 $\text{enq}(x) <_{\mathbf{s}} \text{enq}(y) \wedge$   
 $\text{deq}(y) <_{\mathbf{s}} \text{deq}(x)$

## Queue sequential specification (axiomatic)

$\mathbf{s}$  is a legal queue (with empty removals) sequence  
iff

1.  $\text{deq}(x) \in \mathbf{s} \Rightarrow \text{enq}(x) \in \mathbf{s} \wedge \text{enq}(x) <_{\mathbf{s}} \text{deq}(x)$
2.  $\text{deq}(\perp) <_{\mathbf{s}} \text{deq}(x) \Rightarrow \text{deq}(\perp) <_{\mathbf{s}} \text{enq}(x) \wedge \text{enq}(x) <_{\mathbf{s}} \text{deq}(\perp) \Rightarrow \text{deq}(x) <_{\mathbf{s}} \text{deq}(\perp)$
3.  $\text{enq}(x) <_{\mathbf{s}} \text{enq}(y) \wedge \text{deq}(y) \in \mathbf{s} \Rightarrow \text{deq}(x) \in \mathbf{s} \wedge \text{deq}(x) <_{\mathbf{s}} \text{deq}(y)$

## Queue linearizability (axiomatic)

$\mathbf{h}$  is queue (with empty removals) linearizable  
iff

1.  $\text{deq}(x) \in \mathbf{h} \Rightarrow \text{enq}(x) \in \mathbf{h} \wedge \text{deq}(x) \not<_{\mathbf{h}} \text{enq}(x)$

2.  $\text{enq}(x_1) <_{\mathbf{h}} \text{deq}(\perp) \wedge \text{enq}(x_2) <_{\mathbf{h}} \text{deq}(x_1) \wedge \dots \wedge \text{enq}(x_{n+1}) <_{\mathbf{h}} \text{deq}(x_n) \wedge \text{deq}(\perp) <_{\mathbf{h}} \text{deq}(x_{n+1})$   
infinitely many CV violations

3.  $\text{enq}(x) <_{\mathbf{h}} \text{enq}(y) \wedge \text{deq}(y) \in \mathbf{h} \Rightarrow \text{deq}(x) \in \mathbf{h} \wedge \text{deq}(y) \not<_{\mathbf{h}} \text{deq}(x)$

# Concurrent Queues

**Data independence** => verifying executions where each value is enqueued at most once is sound

Reduction to **assertion checking** = exclusion of "bad patterns"

Value  $v$  dequeued without being enqueued

$\text{deq} \Rightarrow v$



Value  $v$  dequeued before being enqueued

$\text{deq} \Rightarrow v$      $\text{enq}(v)$



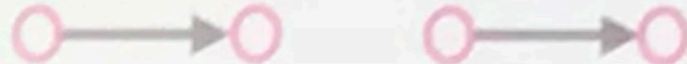
Value  $v$  dequeued twice

$\text{deq} \Rightarrow v$      $\text{deq} \Rightarrow v$



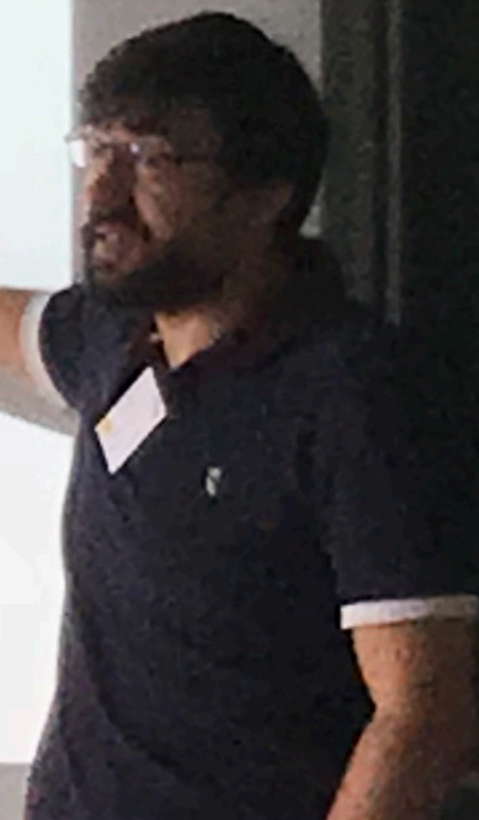
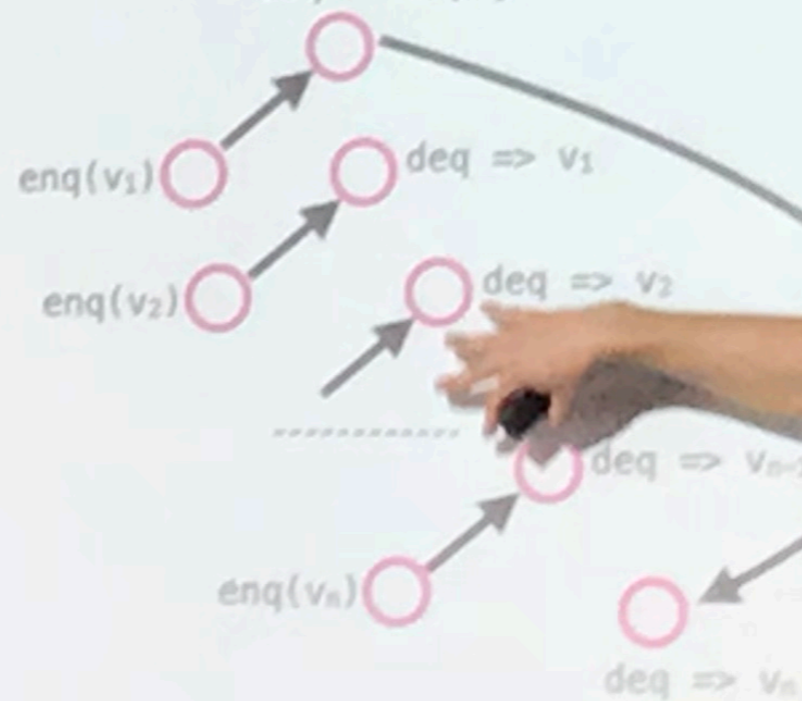
Value  $v_1$  and  $v_2$  dequeued in the wrong order

$\text{enq}(v_1)$      $\text{enq}(v_2)$      $\text{deq} \Rightarrow v_2$      $\text{deq} \Rightarrow v_1$



Dequeue wrongfully returns empty

$\text{deq} \Rightarrow \text{empty}$






# It works for

- Pool without empty removals
- Queue without empty removals
- Priority queue without empty removals
- Pool
- Queue
- Priority queue

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- Pool without empty removals
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infinite  
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- Pool without empty removals
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But not yet for Stack:  
infinite CV violations  
without clear  
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# It works for

- Pool without empty removals
- Queue without empty removals
- Priority queue without empty removals
- Pool
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infinite  
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But not yet for Stack:  
infinite CV violations  
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Exploring the space of  
data structures  
as well as new ideas  
for problematic cases