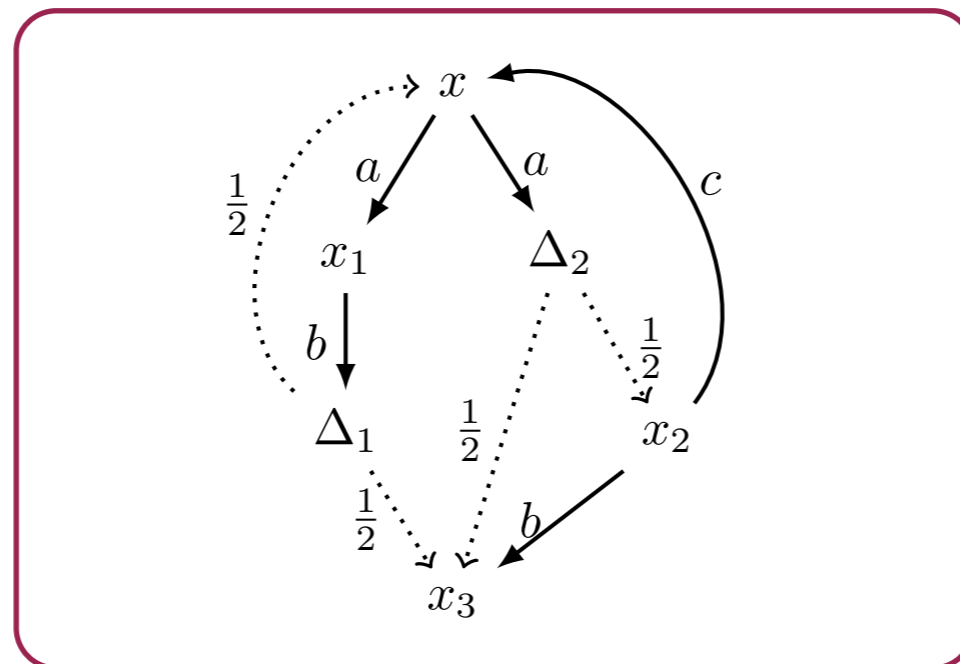


The Theory of Traces for Nondeterminism and Probability

Ana Sokolova  UNIVERSITY of SALZBURG



It's all about leaving
a trace...



Joint work with



Ichiro Hasuo
NII
国立情報学研究所
National Institute of Informatics



Bart Jacobs
Radboud University



Alexandra Silva



Harald Woracek



Filippo Bonchi



Valeria Vignudelli



I will talk about:

- 1.** The absolute basics of coalgebra
- 2.** Trace semantics via determinisation
- 3.** ...enabled by algebraic structure

I will talk about:

Mathematical framework
based on category theory
for state-based
systems semantics

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for
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I will talk about:

1. The absolute basics of coalgebra
2. Trace semantics via determinisation
3. ...enabled by algebraic structure

Mathematical framework
based on category theory
for state-based
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for
nondeterministic/
probabilistic
systems

systems with
algebraic effects



Coalgebras

Uniform framework for dynamic transition systems, based on category theory.



Coalgebras

Uniform framework for dynamic transition systems, based on category theory.

$$X \xrightarrow{c} FX$$



Coalgebras

Uniform framework for dynamic transition systems, based on category theory.

$$X \xrightarrow{c} FX$$

states



Coalgebras

Uniform framework for dynamic transition systems, based on category theory.

$$X \xrightarrow{\mathcal{C}} FX$$

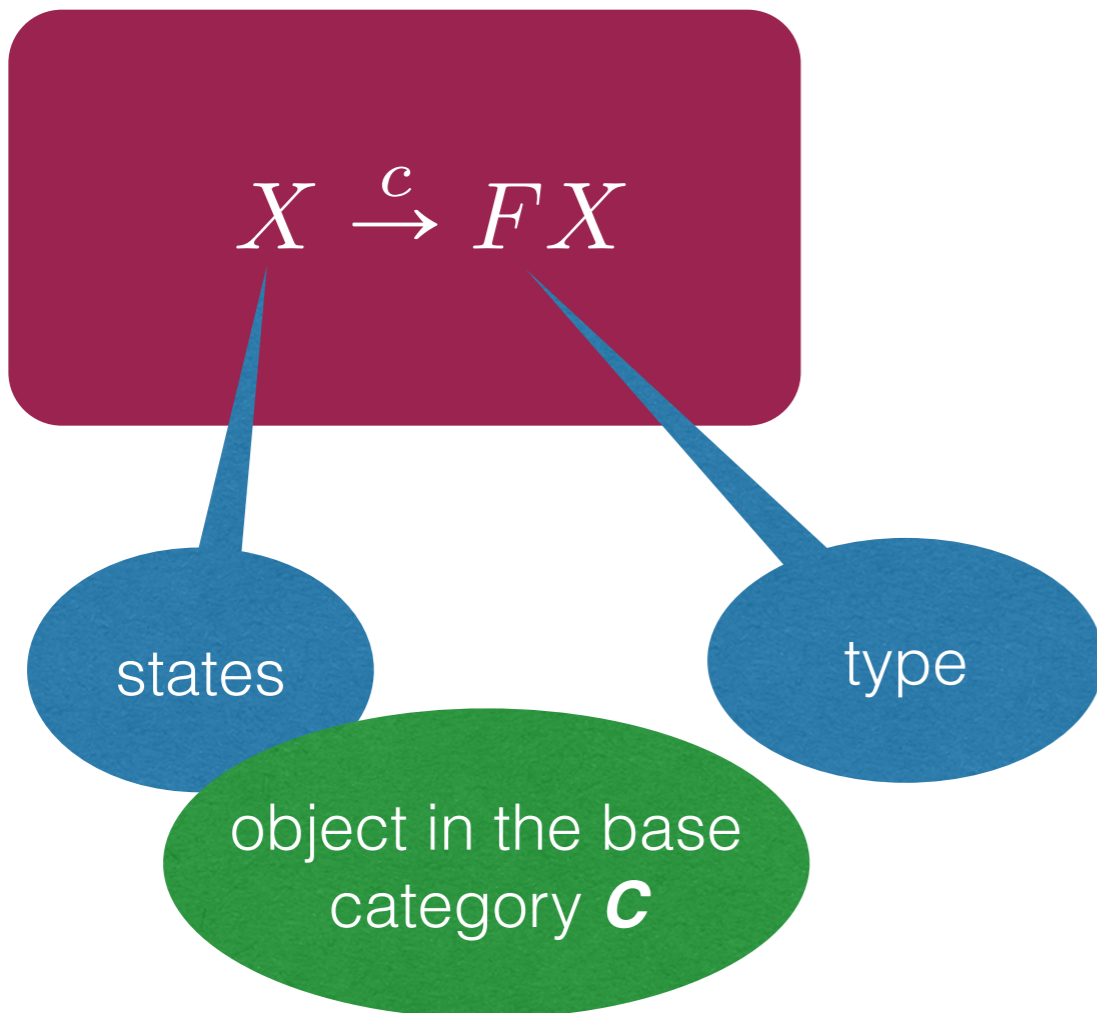
states

object in the base
category \mathcal{C}



Coalgebras

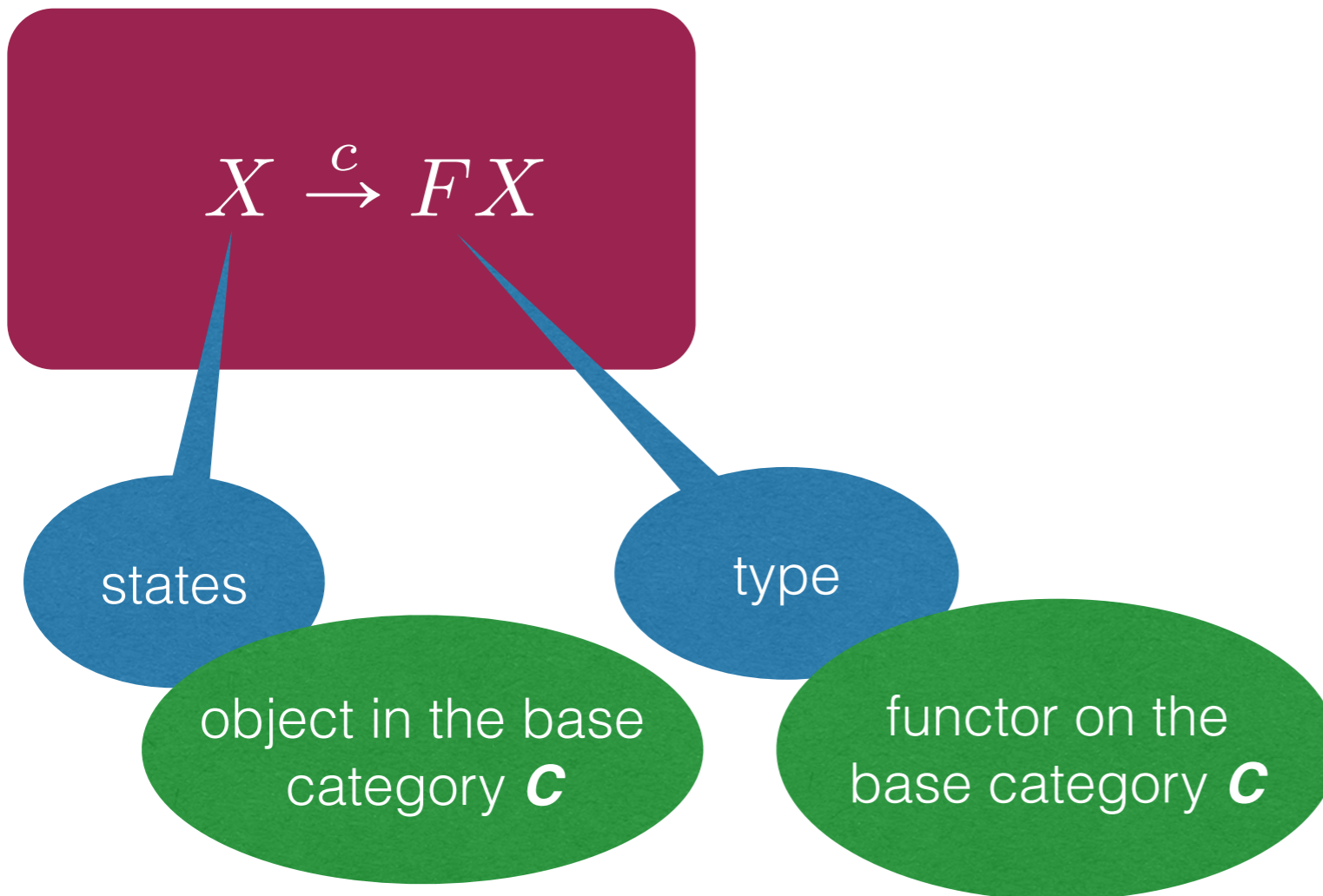
Uniform framework for dynamic transition systems, based on category theory.





Coalgebras

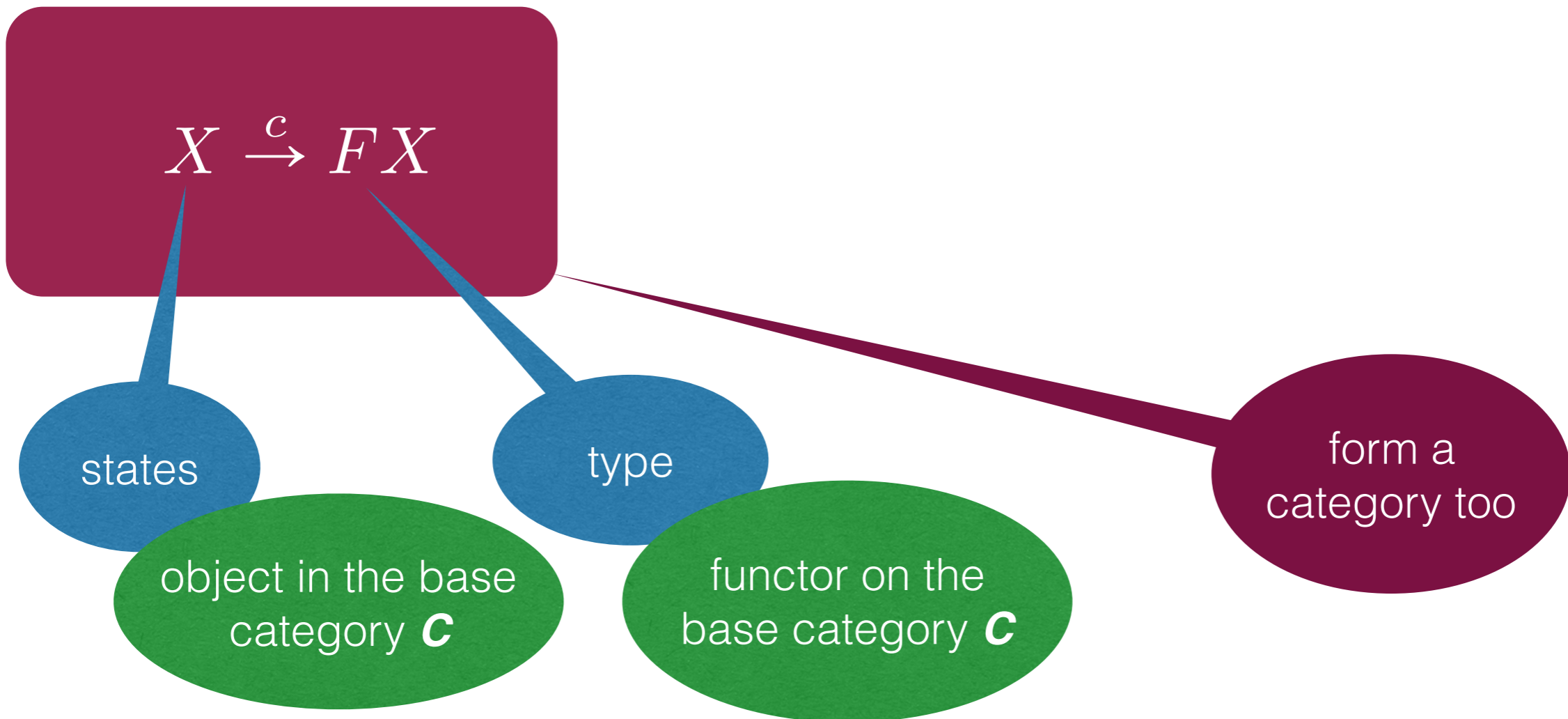
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Coalgebras

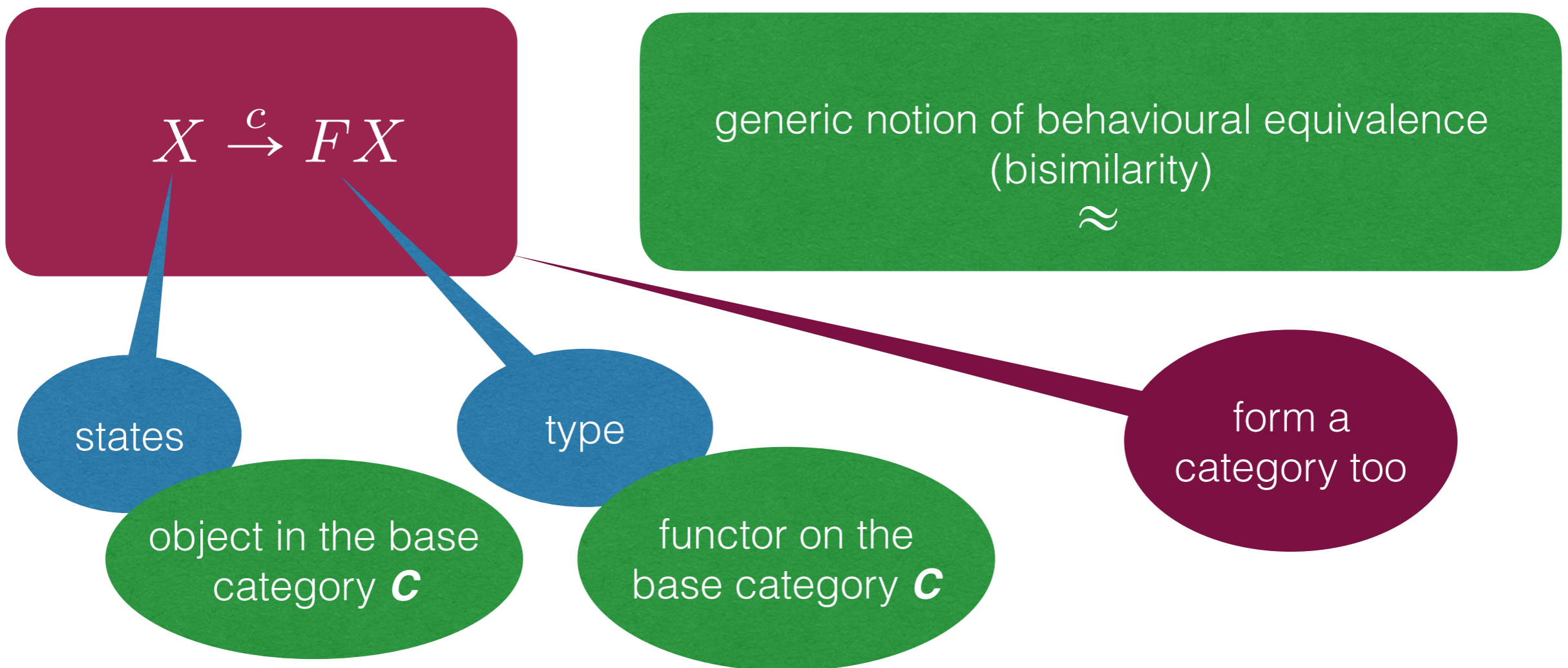
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Coalgebras

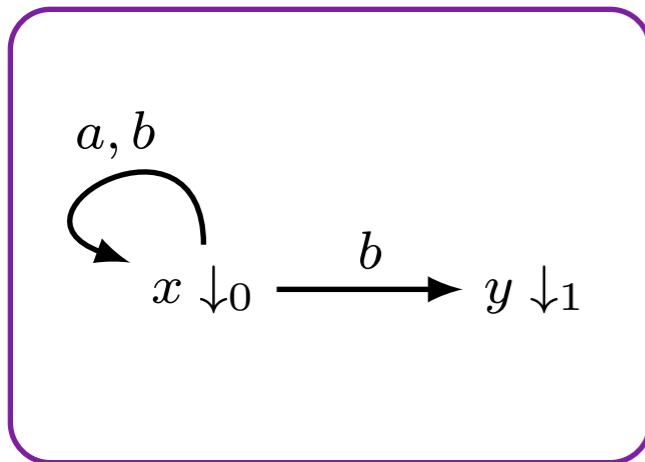
Uniform framework for dynamic transition systems, based on category theory.



Examples

NFA

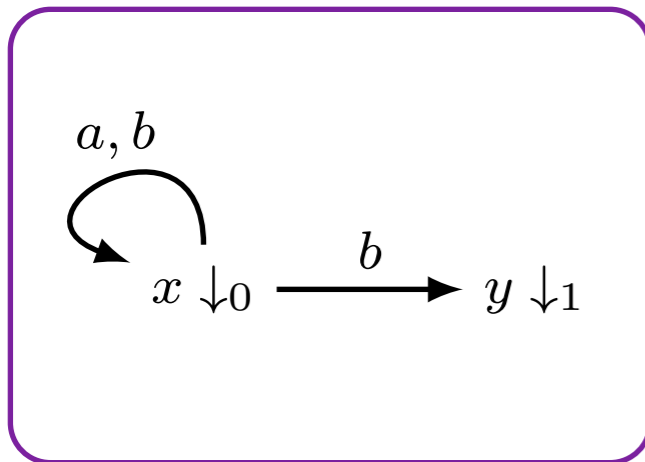
$$X \rightarrow 2^x (\mathcal{P}X)^A$$



Examples

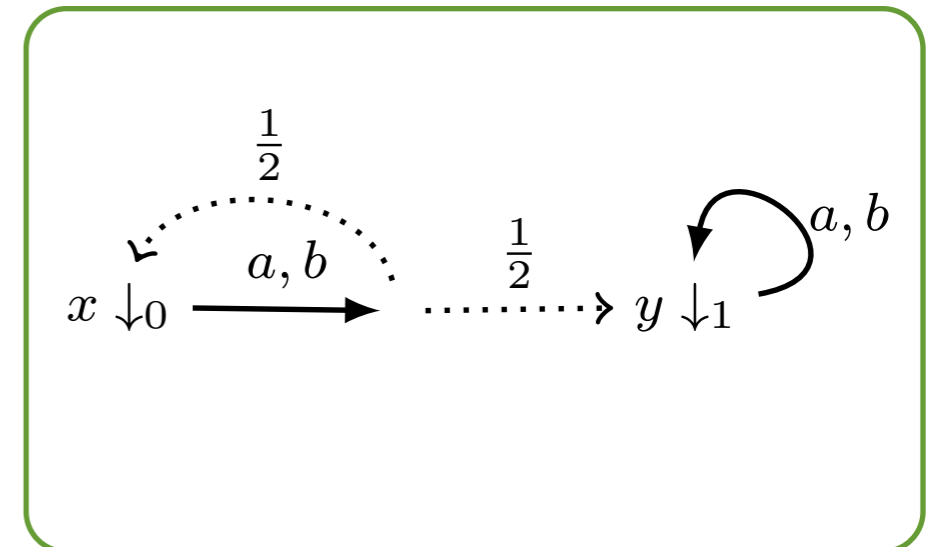
NFA

$$X \rightarrow 2 \times (\mathcal{P}X)^A$$



Rabin PA

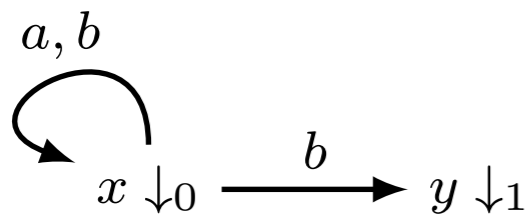
$$X \rightarrow [0, 1] \times (\mathcal{D}_{\leq 1}X)^A$$



Examples

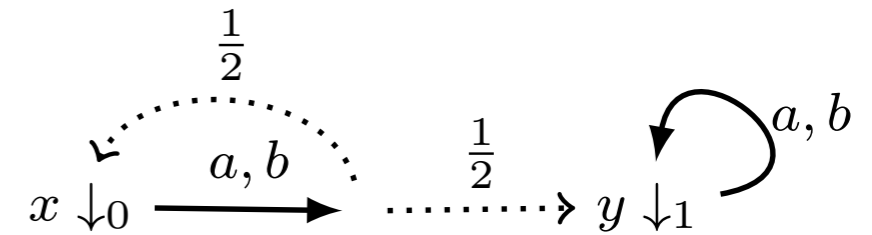
NFA

$$X \rightarrow 2 \times (\mathcal{P}X)^A$$



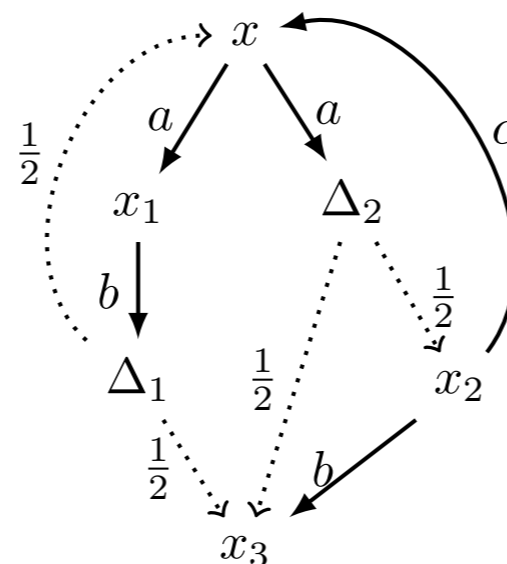
Rabin PA

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Simple NPA

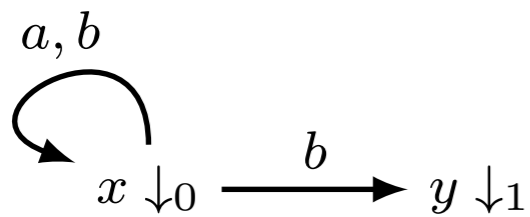
$$X \rightarrow ? \times (\mathcal{P}\mathcal{D}X)^A$$



Examples

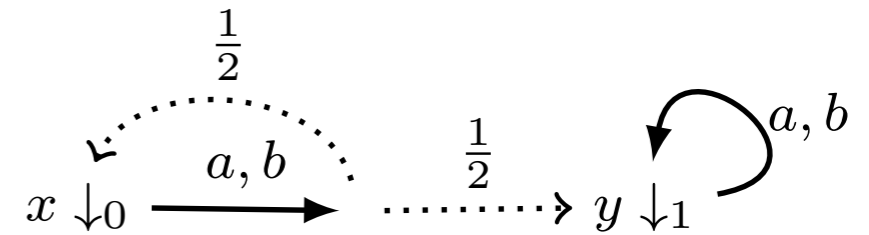
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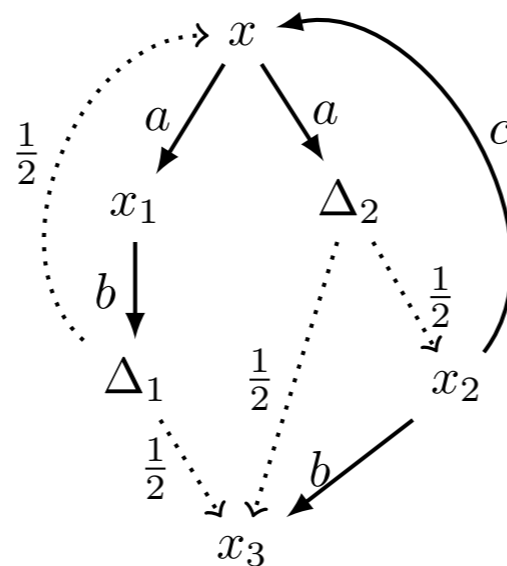
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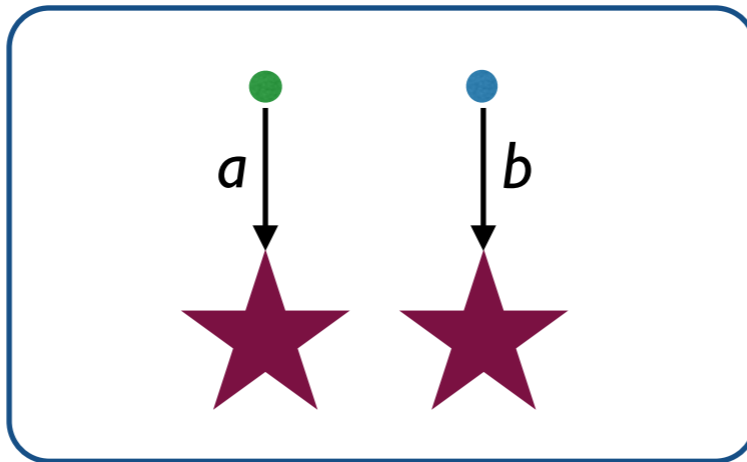
systems with
nondeterminism
and
probability

In general

In general

Automata

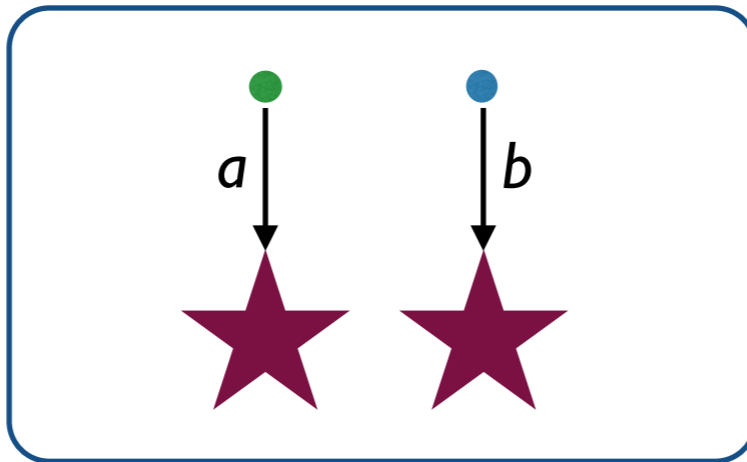
$$X \rightarrow O x (MX)^A$$



In general

Automata

$$X \rightarrow O \times (MX)^A$$

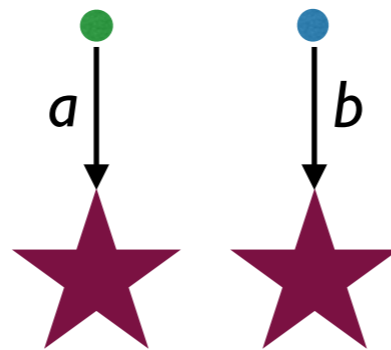


with
observations
in O

In general

Automata

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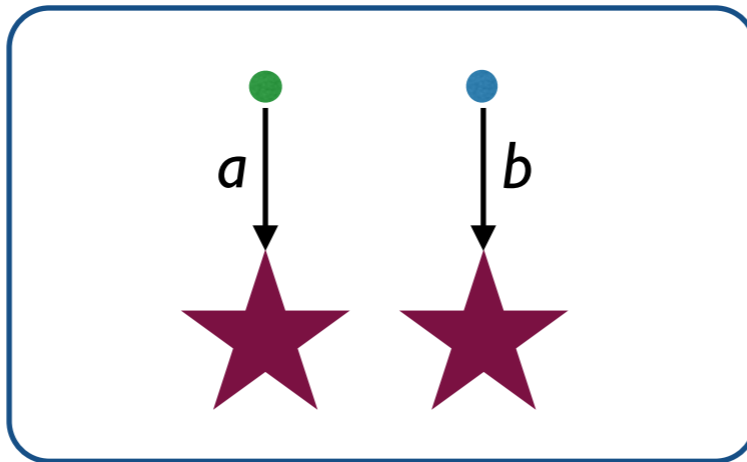
with observations in O

and M-effects

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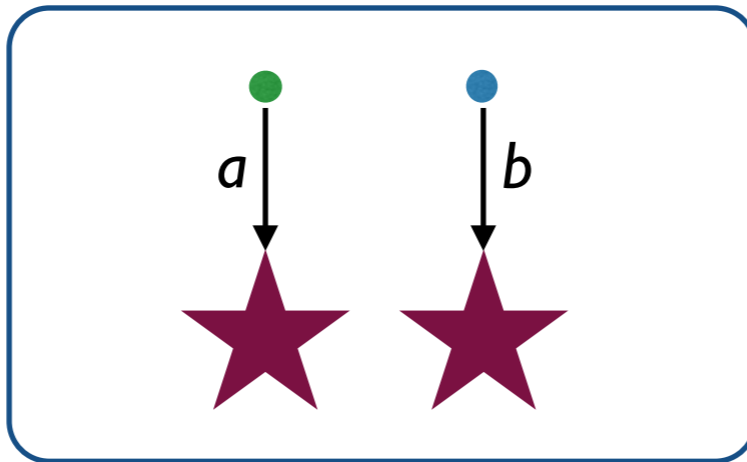
for a monad M

In general

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and M-effects

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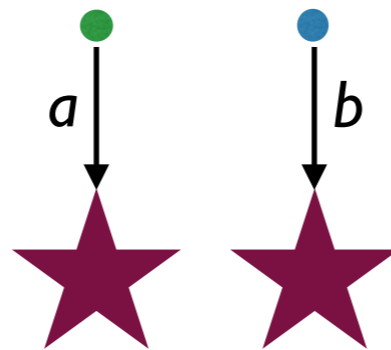
$$\mu: MM \Rightarrow M$$

$$\eta: Id \Rightarrow M$$

In general

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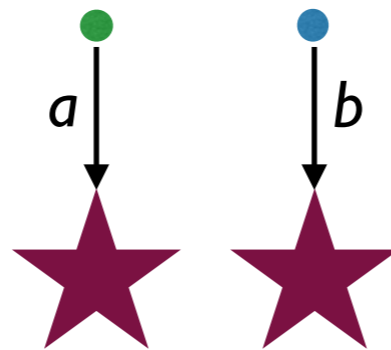
$$\begin{aligned} \mu: MM &\Rightarrow M \\ \eta: Id &\Rightarrow M \end{aligned}$$

providing algebraic effects

In general

Automata

$$X \rightarrow O \times (MX)^A$$



with observations in O

and M -effects

for a monad M

$$\mu: MM \Rightarrow M$$

$$\eta: Id \Rightarrow M$$

providing algebraic effects

we write $x \downarrow O, x \xrightarrow{a} t_x$

In our examples

NFA

$$X \rightarrow 2 \times (\mathcal{P}X)^A$$

Rabin PA

$$X \rightarrow [0, 1] \times (\mathcal{D}_{\leq 1}X)^A$$

Simple PA

$$X \rightarrow ? \times (\mathcal{PDX})^A$$

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$M = \mathcal{P}$
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Powerset, subsets

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$M = \mathcal{PD} ???$
for nondeterminism
and probability

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for nondeterminism
and probability !

Nonempty f.g. convex
subsets of
distributions

Trace Semantics

Trace Semantics

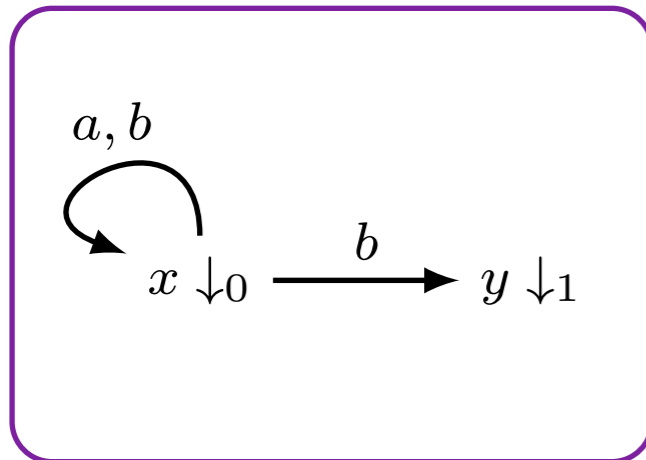


language
semantics

Trace Semantics

NFA = LTS + termination

$$X \rightarrow 2 \times (\mathcal{P}X)^A$$

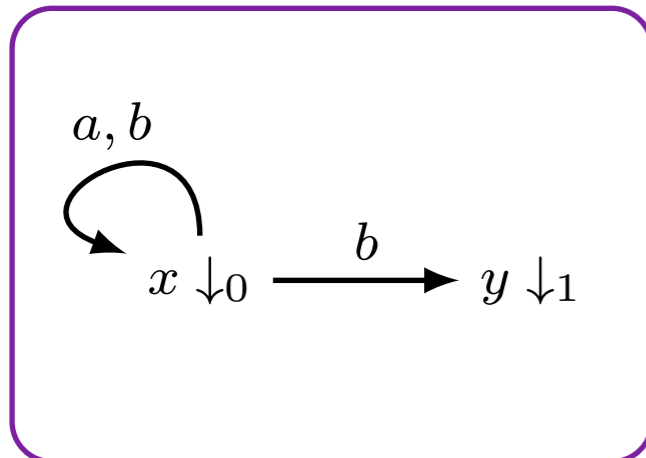


language
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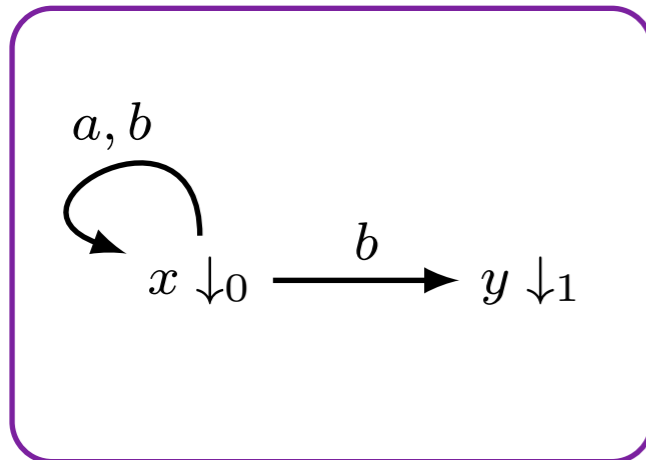
language
semantics

$$\text{tr}: X \rightarrow 2^{A^*}$$

Trace Semantics

NFA = LTS + termination

$$X \rightarrow 2 \times (\mathcal{P}X)^A$$



$$\text{tr}: X \rightarrow 2^{A^*}$$

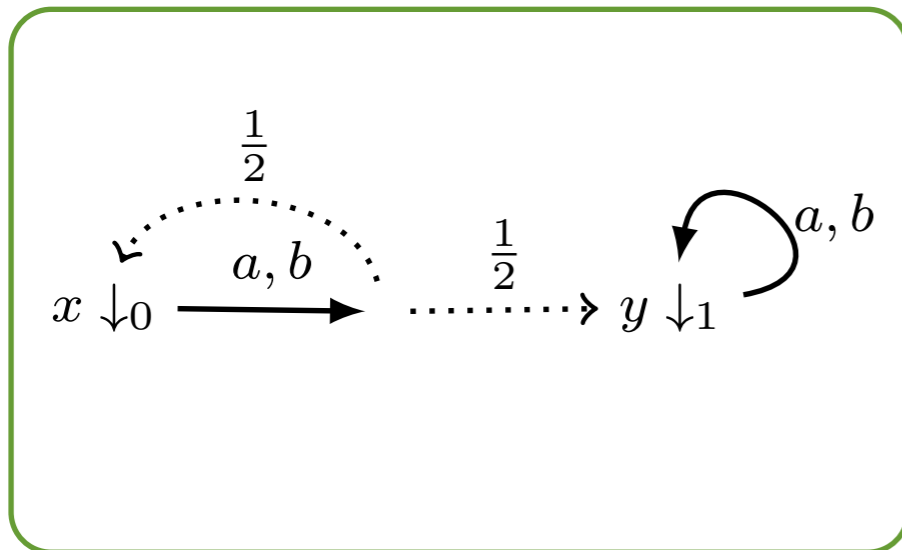
language semantics

$$\text{tr}(x) = (a \cup b)^*b = \{w \in \{a, b\}^* \mid w \text{ ends with a } b\}$$

Trace Semantics

Rabin PA = RPTS + termination

$$X \rightarrow [0, 1] \times (\mathcal{D}_{\leq 1} X)^A$$



$$\text{tr}(x) = \left(a \mapsto \frac{1}{2}, aa \mapsto \frac{3}{4}, \dots \right)$$

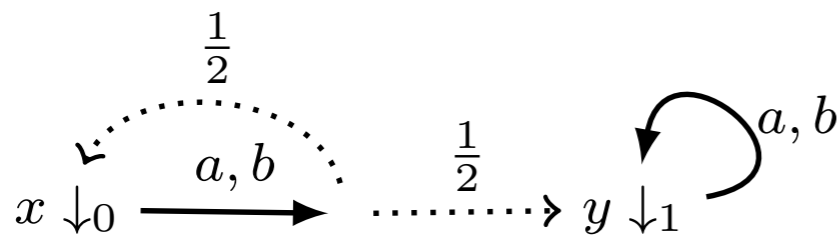
$$\text{tr}: X \rightarrow [0, 1]^{A^*}$$

Trace Semantics

Rabin PA = RPTS + termination

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probabilistic
language
semantics



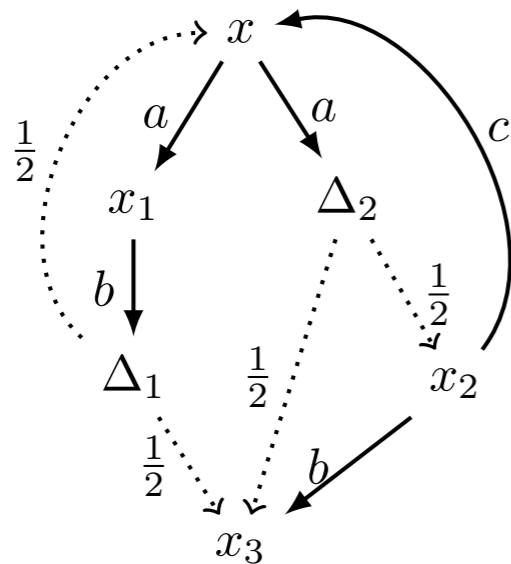
$$\text{tr}(x) = \left(a \mapsto \frac{1}{2}, aa \mapsto \frac{3}{4}, \dots \right)$$

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Trace Semantics

Simple NPA

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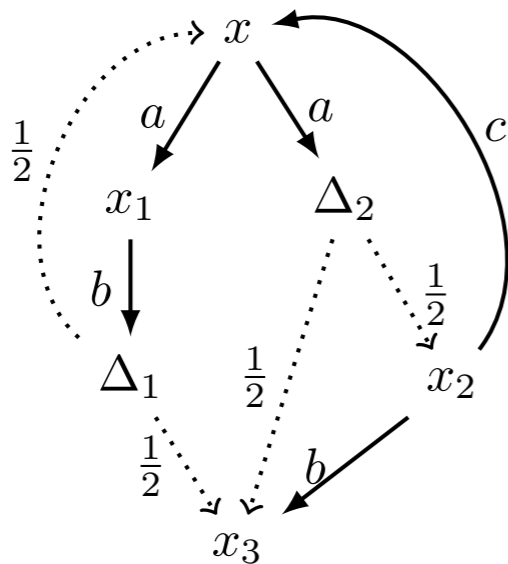
$$\text{tr}(x) = ???$$

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Trace Semantics

Simple NPA

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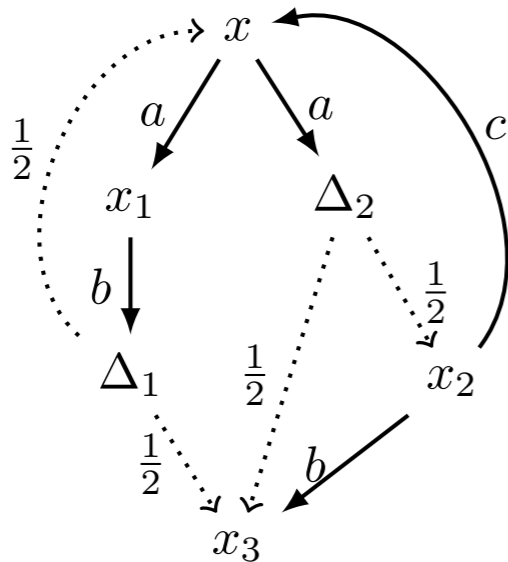
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nondet.
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$$\text{tr}(x) = ???$$

$$\text{tr}: X \rightarrow ?^{A^*}$$

nondet.
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Existing definitions
are “local”
given in terms of
schedulers

Trace semantics coalgebraically?



NFA / LTS

Two ideas:

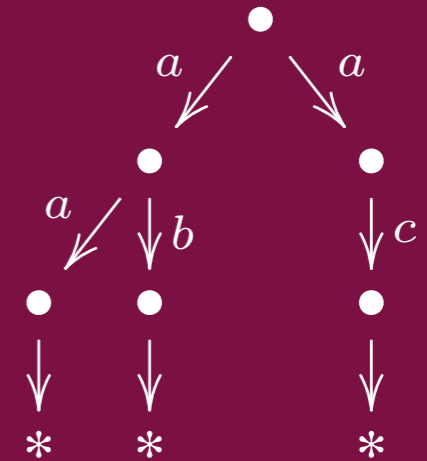
- (1) unfold branching + transitions on words
- (2) trace = bisimilarity after determinisation

Trace semantics coalgebraically?

NFA / LTS

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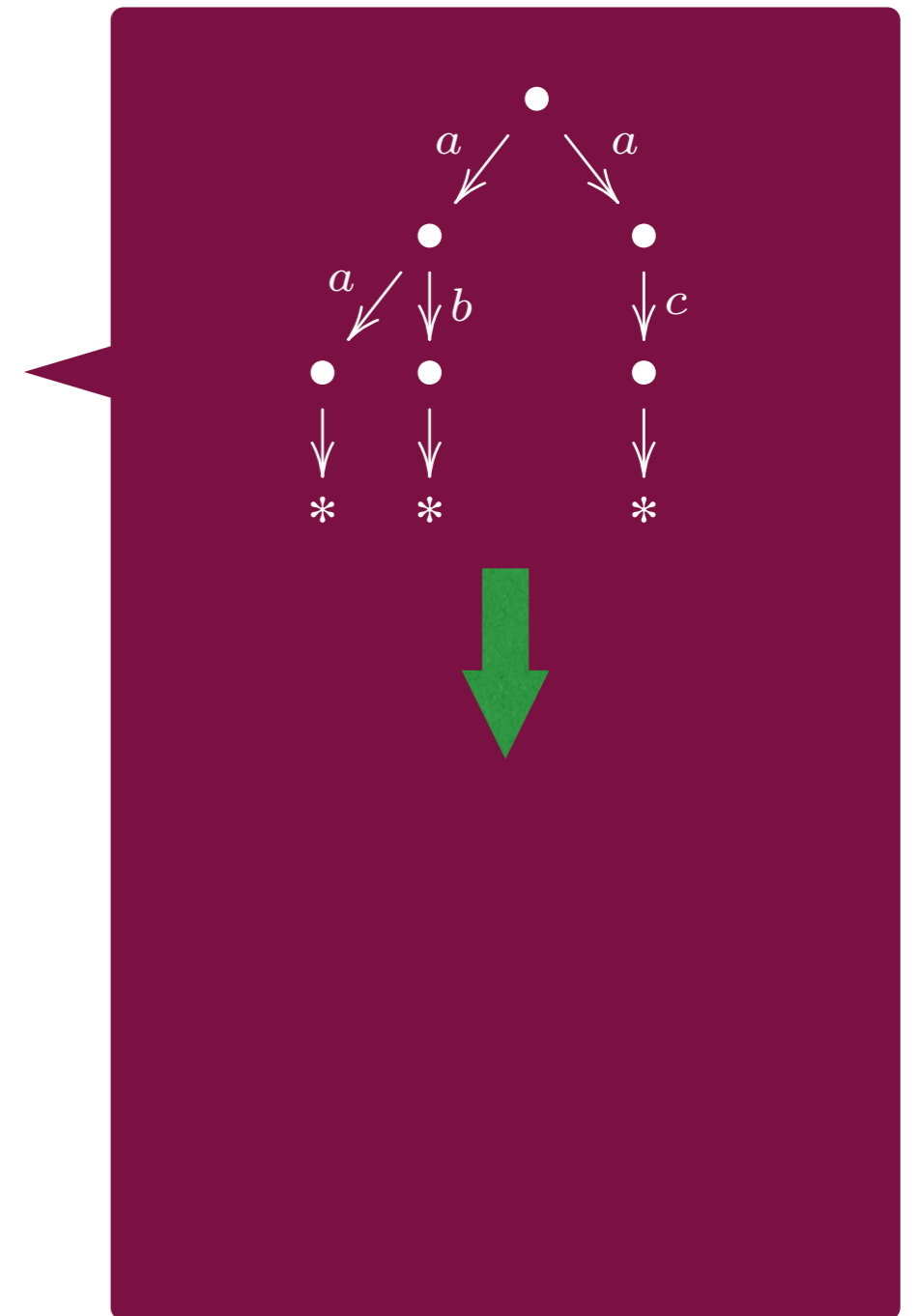


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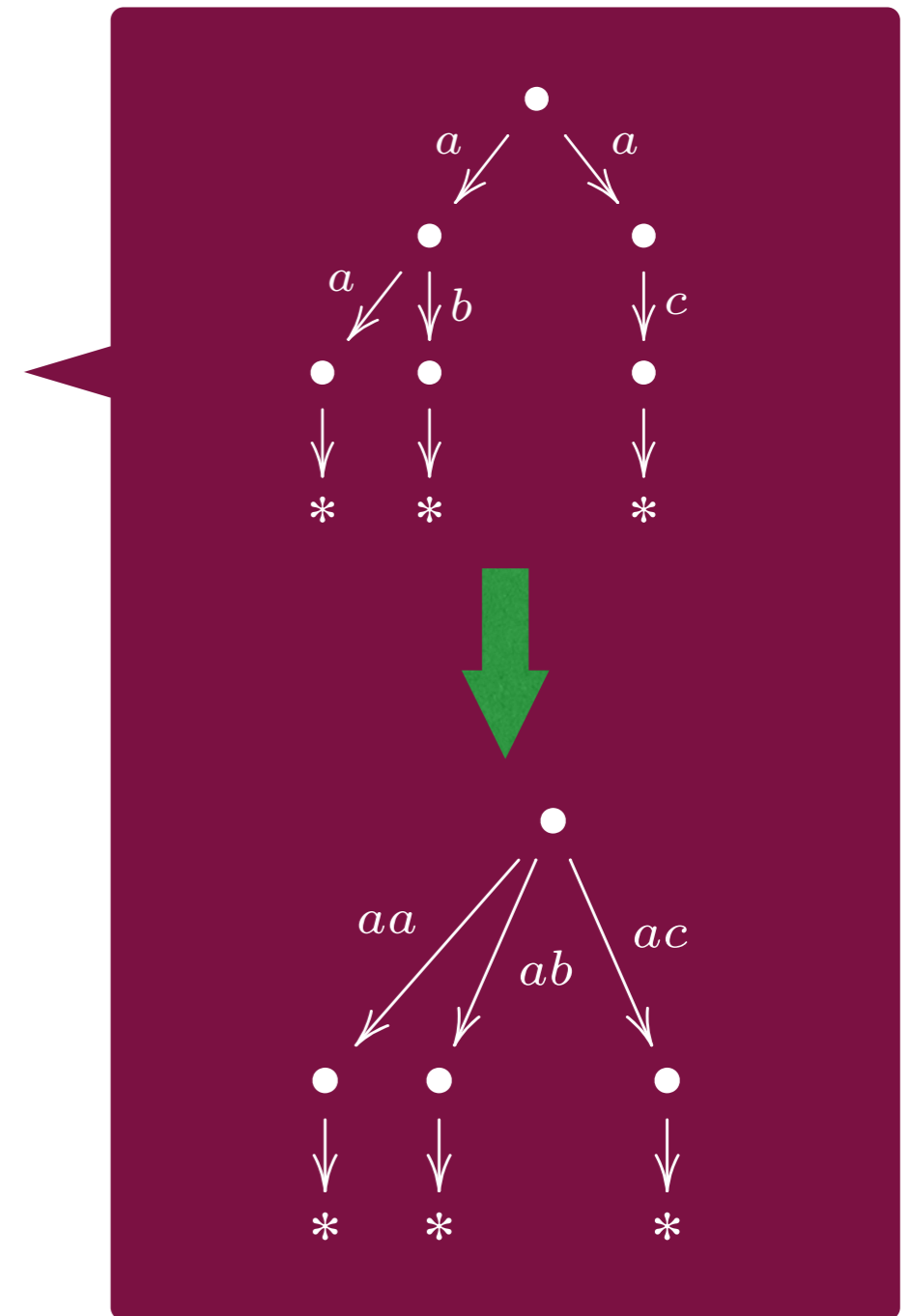


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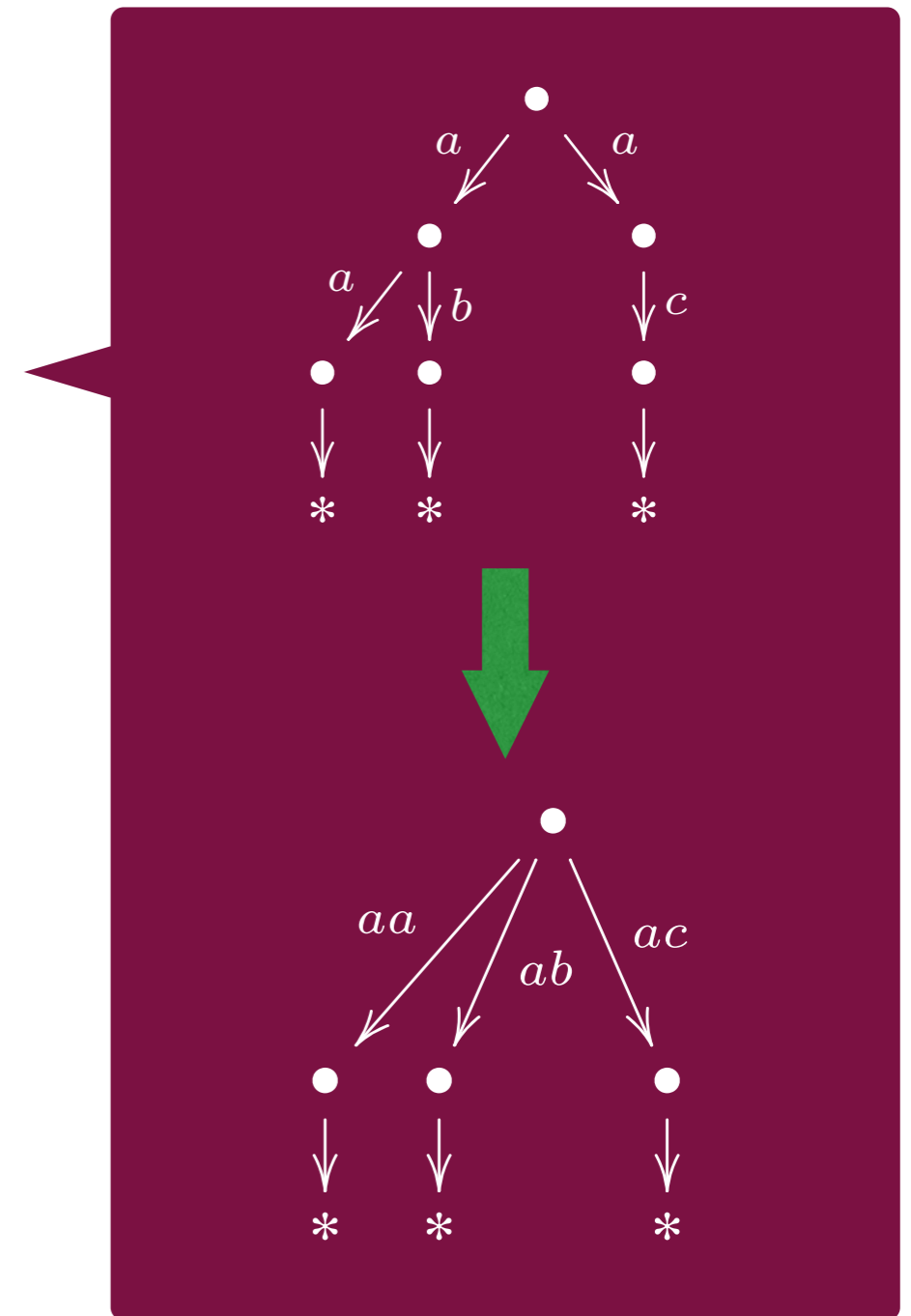
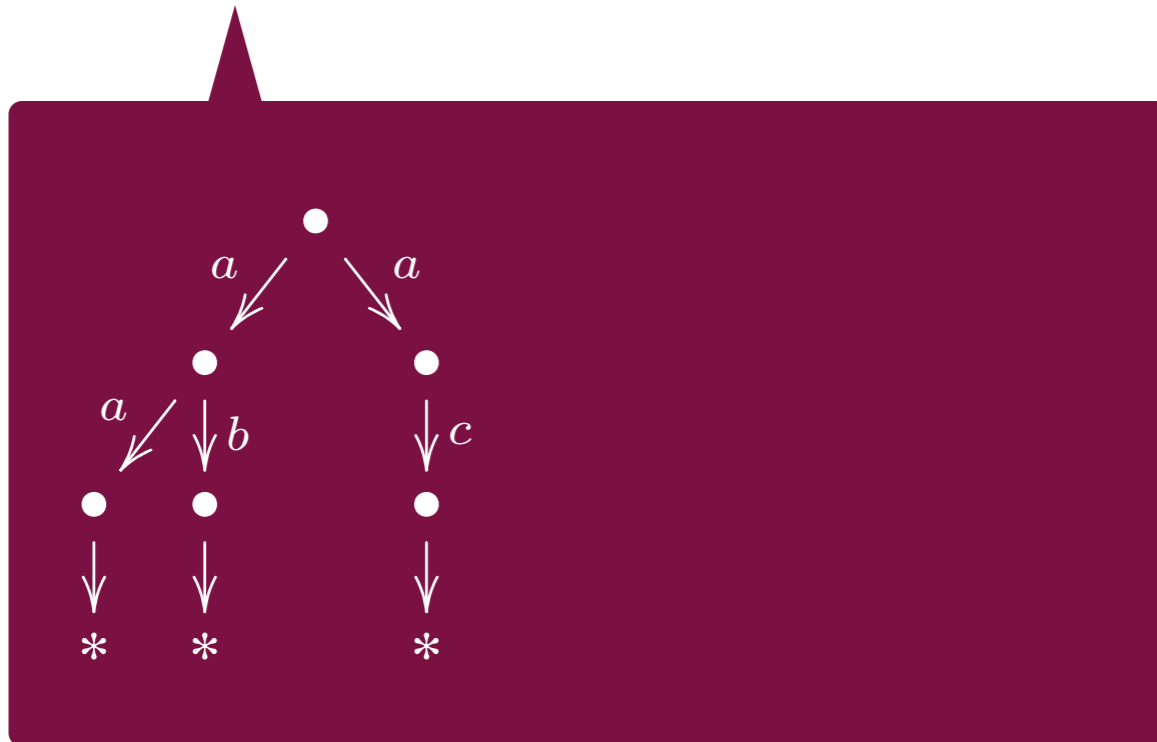


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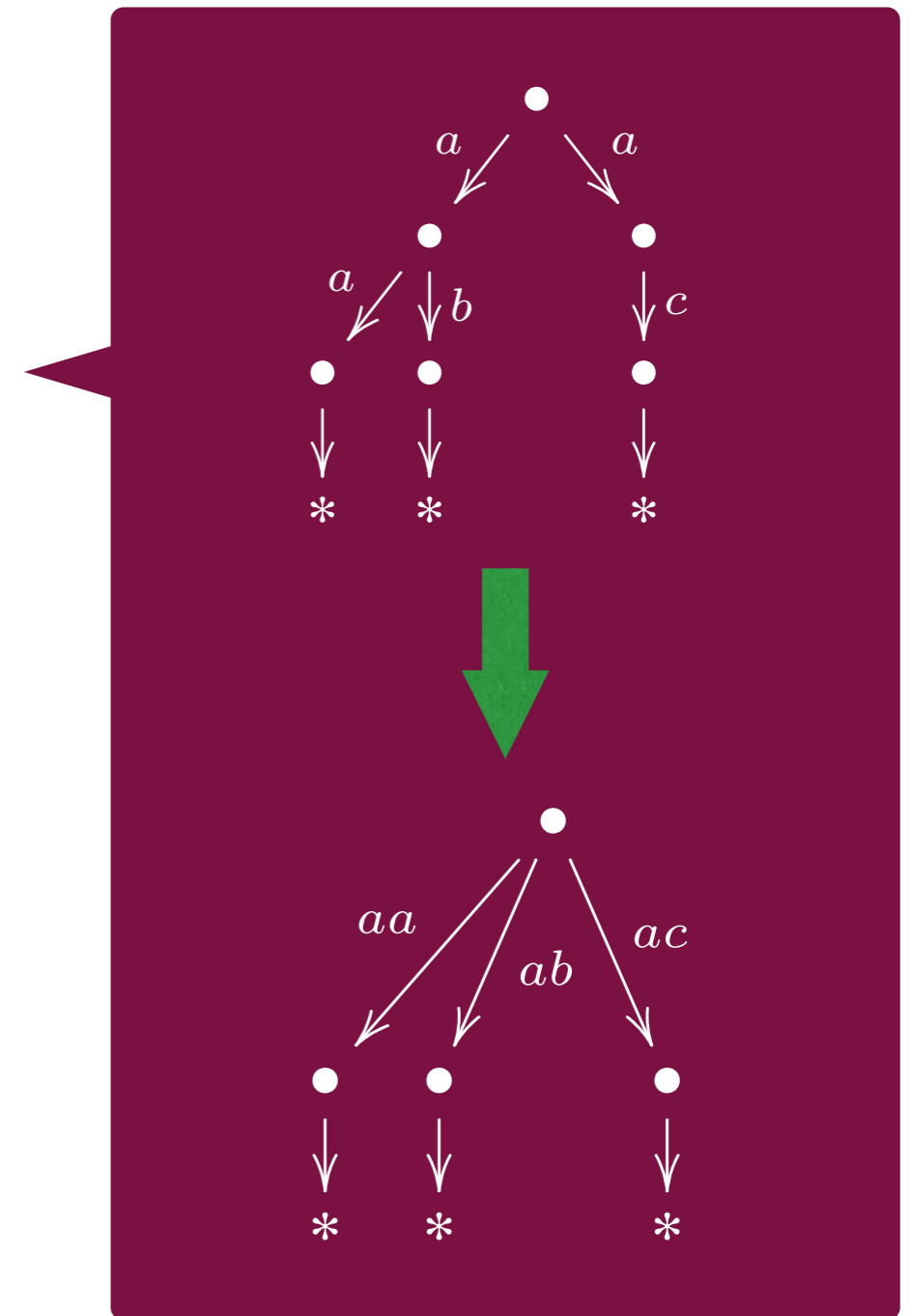
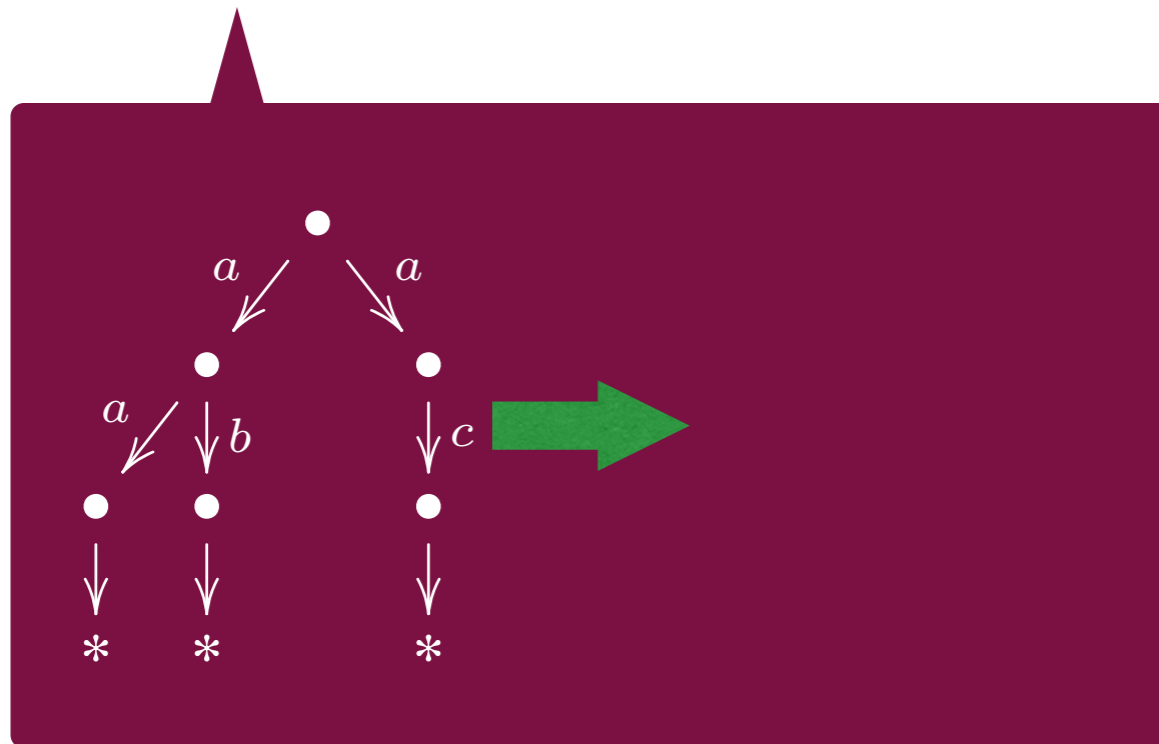


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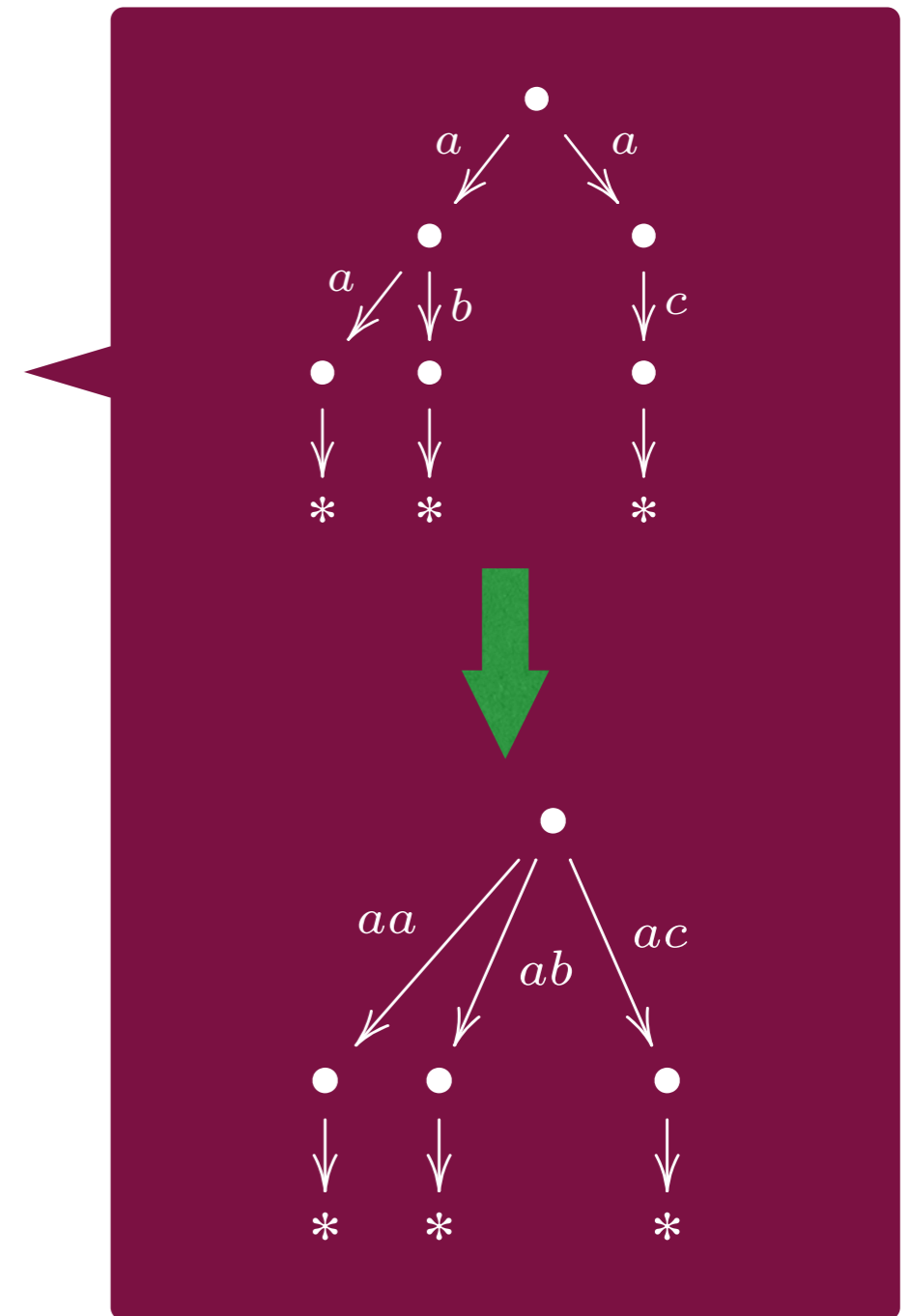
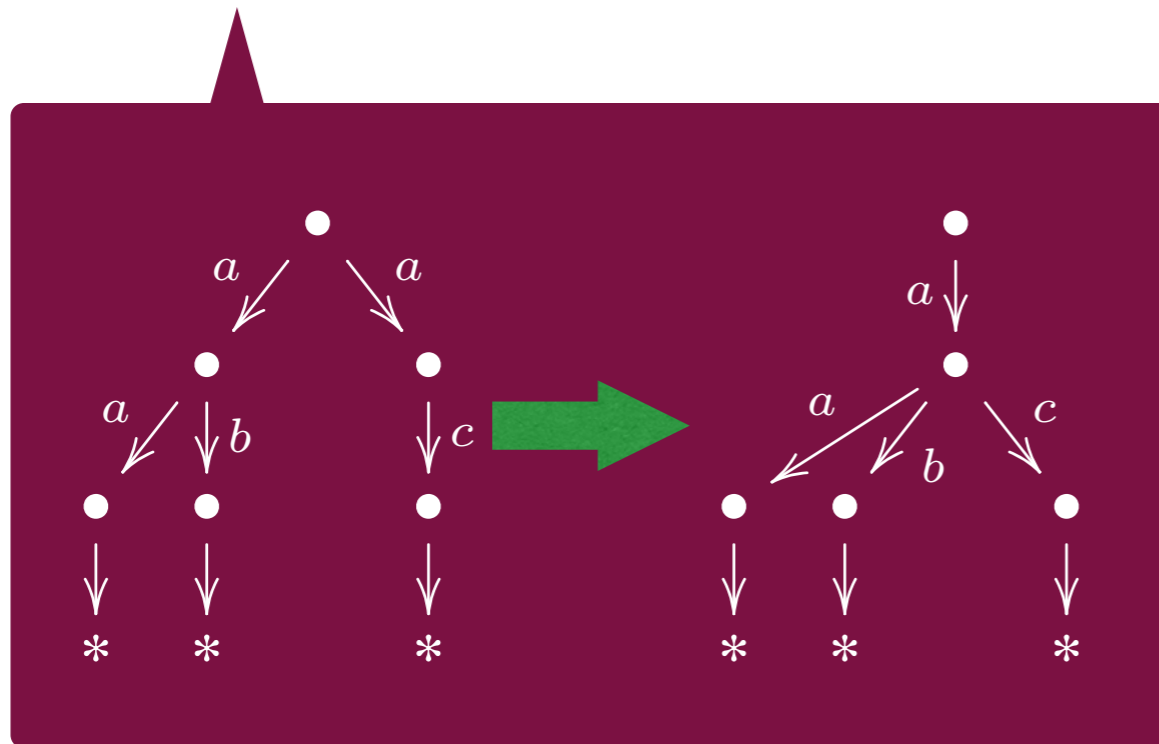


Trace semantics coalgebraically?

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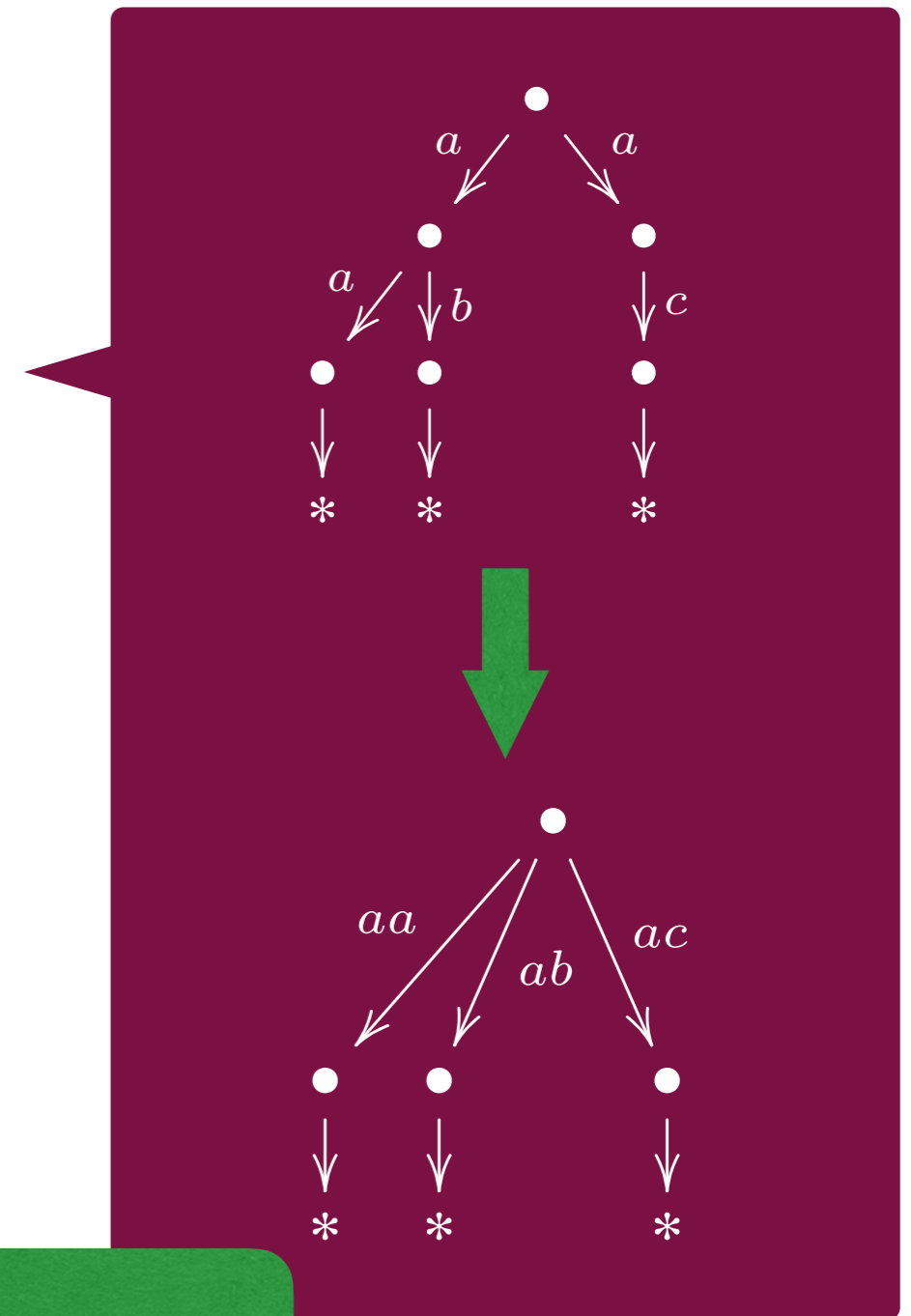
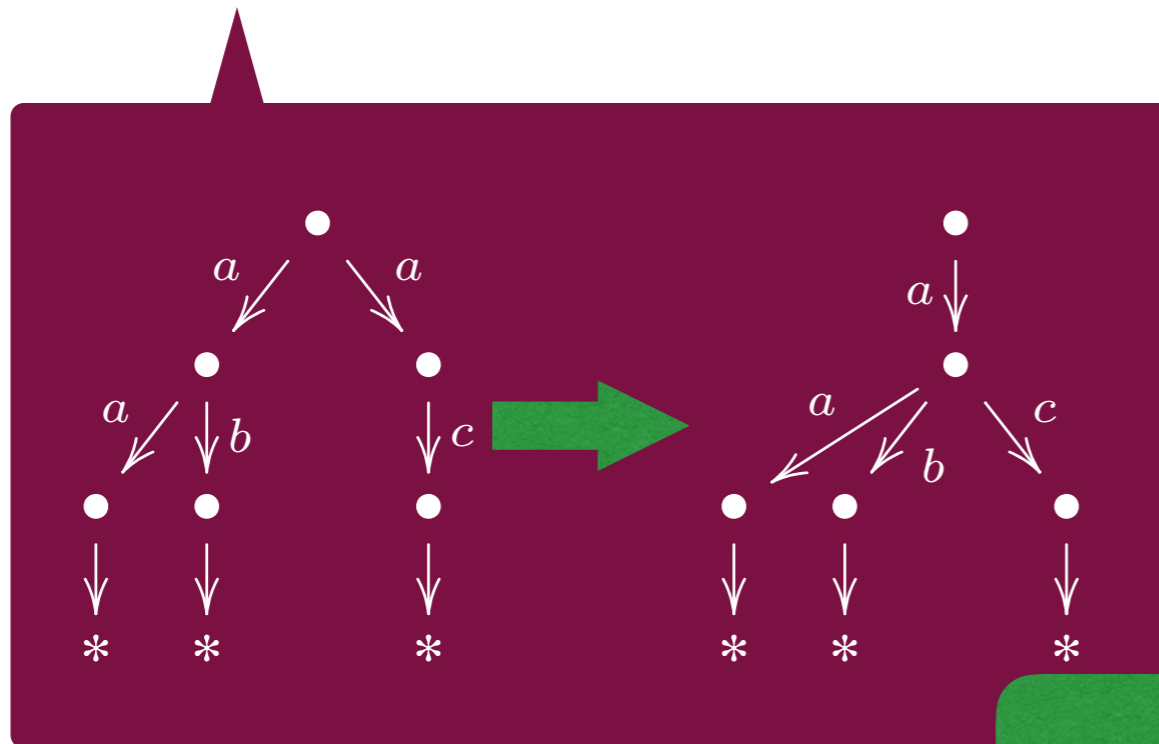


Trace semantics coalgebraically?

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Two ideas:

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monads !

Trace semantics coalgebraically

Trace semantics coalgebraically

Two approaches:

(1) modelling in a Kleisli category

(2) modelling in an Eilenberg-Moore category

Trace semantics coalgebraically

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algebras of a monad M

Trace semantics coalgebraically

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algebras of a monad M

Hasuo,
Jacobs, S.
LMCS '07

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algebras of a monad M

(1) and (2) are related

Trace semantics coalgebraically

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algebras of a monad M

Jacobs, Silva, S.
JCSS'15

(1) and (2) are related

Traces via determinisation

Traces via determinisation

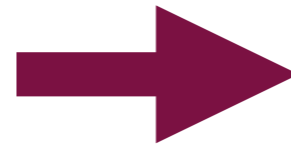
Automaton with M-effects

$$X \rightarrow O \times (MX)^A$$

Traces via determinisation

Automaton with M-effects

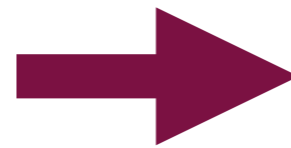
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Traces via determinisation

Automaton with M-effects

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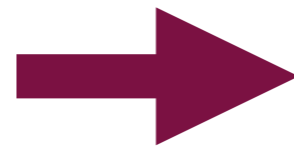
Determinisation

$$MX \rightarrow O \times (MX)^A$$

Traces via determinisation

Automaton with M-effects

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Determinisation

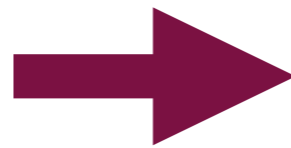
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trace = bisimilarity after
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Traces via determinisation

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Determinisation

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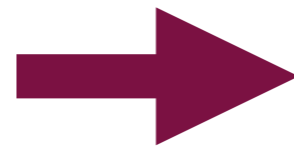
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Algebras for M

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Algebras for M

ideally
we have a
presentation

Traces via determinisation

Automaton with M-effects

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O has to
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MX carries the free M-algebra

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Eilenberg-Moore algebras

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$$\text{tr}: X \rightarrow O^{A^*}$$

Determinisation

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$$X \rightarrow O \times (MX)^A$$

O has to be an M-algebra !

trace = bisimilarity after determinisation

$$\text{tr}: X \rightarrow O^{A^*}$$

Determinisation

$$MX \rightarrow O \times (MX)^A$$

MX carries the free M-algebra

Algebras for M

ideally we have a presentation

Eilenberg-Moore algebras

$$\text{tr}(x)(a_1 a_2 \dots a_n) = o \iff x \xrightarrow{a_1} t_1 \xrightarrow{a_2} t_2 \dots t_{n-1} \xrightarrow{a_n} t_n \wedge t_n \downarrow o$$



Eilenberg-Moore Algebras

abstractly

$\mathcal{EM}(M)$

- objects

$$\begin{array}{c} MA \\ \downarrow a \\ A \end{array}$$

satisfying

$$\begin{array}{ccc} A & \xrightarrow{\eta} & MA \\ & \searrow a & \downarrow a \\ & & A \end{array}$$

$$\begin{array}{ccc} MMA & \xrightarrow{\mu} & MA \\ Ma \downarrow & & \downarrow a \\ MA & \xrightarrow{a} & A \end{array}$$

- morphisms

$$\begin{array}{c} MA \\ \downarrow a \\ A \end{array} \xrightarrow{h} \begin{array}{c} MB \\ \downarrow b \\ B \end{array}$$

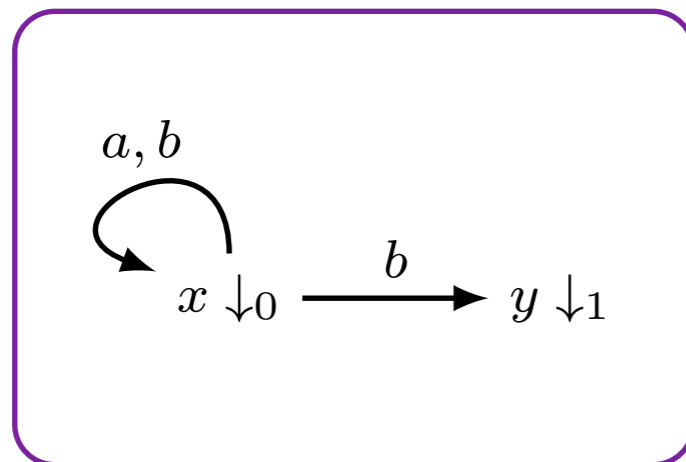
$$\begin{array}{ccc} MA & \xrightarrow{Mh} & MB \\ a \downarrow & & \downarrow b \\ A & \xrightarrow{h} & B \end{array}$$

Traces via determinisation

Traces via determinisation

NFA

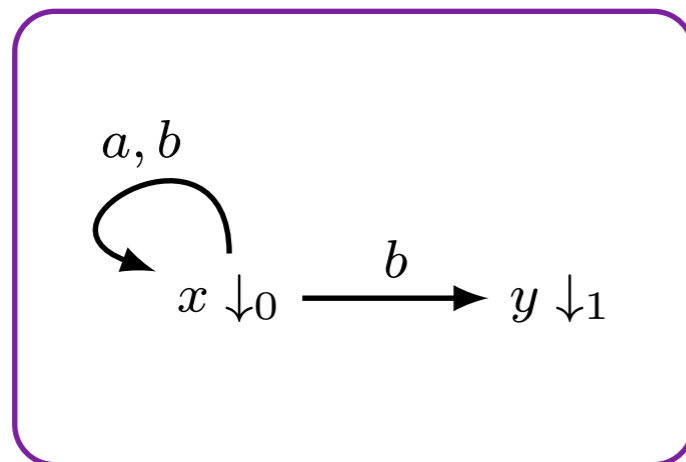
$$X \rightarrow 2 \times (\mathcal{P}X)^A$$



Traces via determinisation

NFA

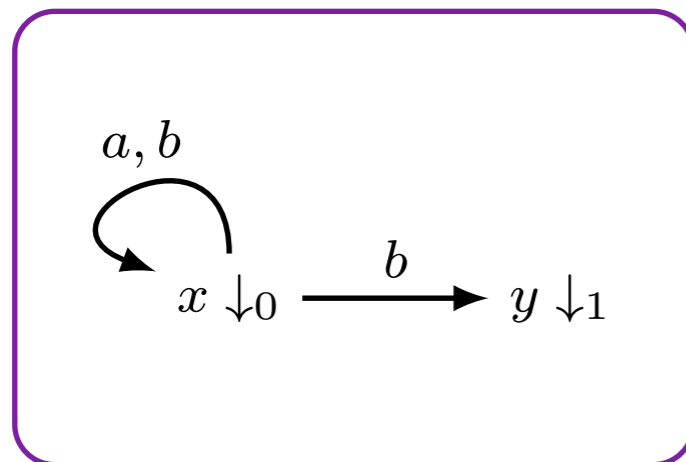
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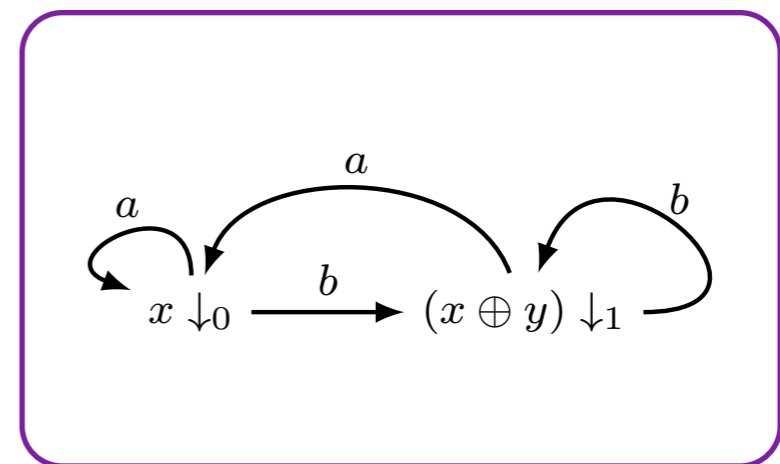
NFA

$$X \rightarrow 2 \times (\mathcal{P}X)^A$$



DFA

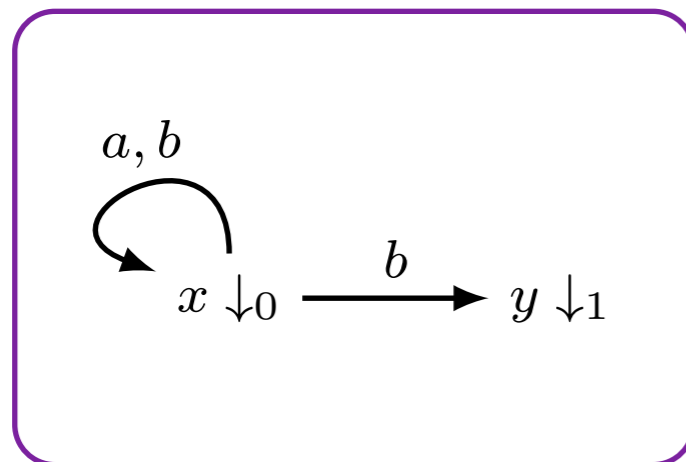
$$\mathcal{P}X \rightarrow 2 \times (\mathcal{P}X)^A$$



Traces via determinisation

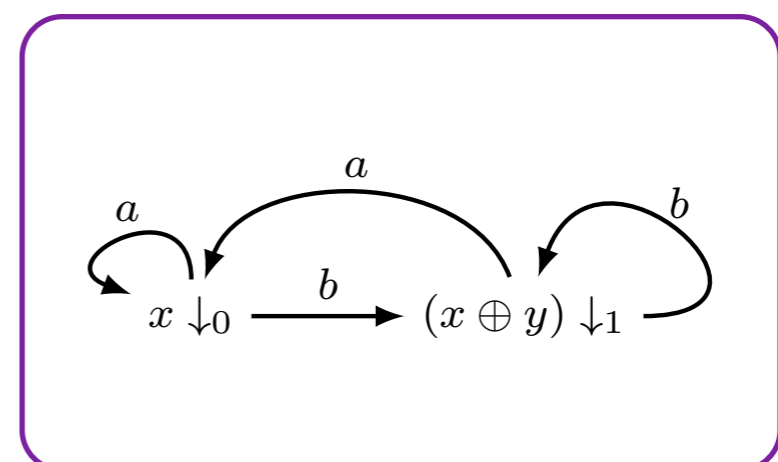
NFA

$$X \rightarrow 2 \times (\mathcal{P}X)^A$$



DFA

$$\mathcal{P}X \rightarrow 2 \times (\mathcal{P}X)^A$$



$$x \xrightarrow{a} t_x, y \xrightarrow{a} t_y$$

$$x \oplus y \xrightarrow{a} t_x \oplus t_y$$

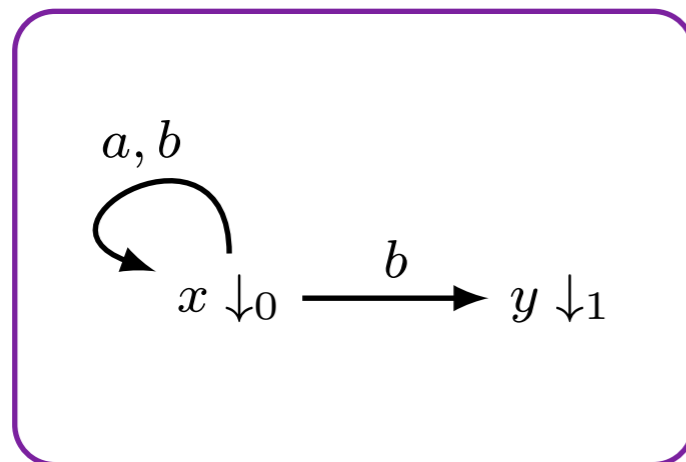
$$x \downarrow o_x, y \downarrow o_y$$

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Traces via determinisation

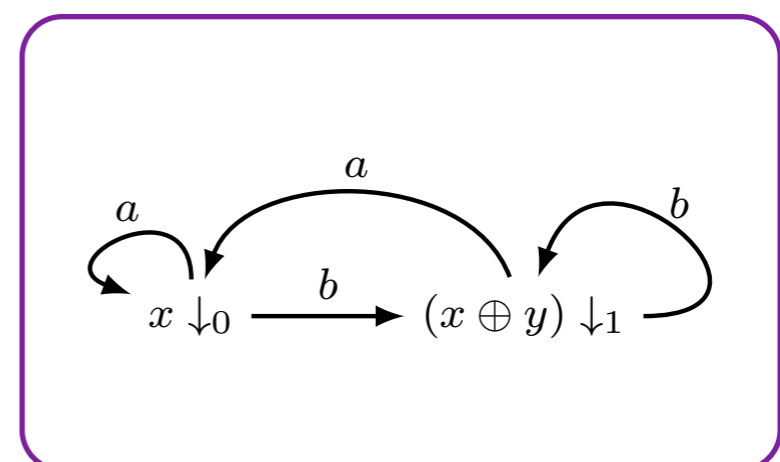
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DFA

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$$x \downarrow_{o_x}, y \downarrow_{o_y}$$

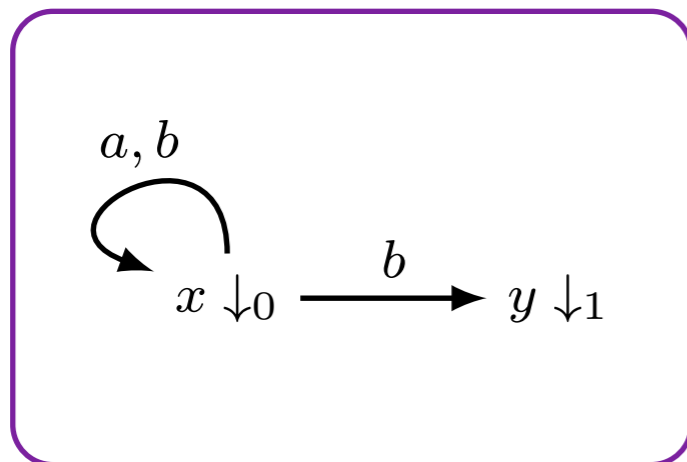
$$x \oplus y \downarrow_{o_x \oplus o_y}$$

Algebras for \mathcal{P}

Traces via determinisation

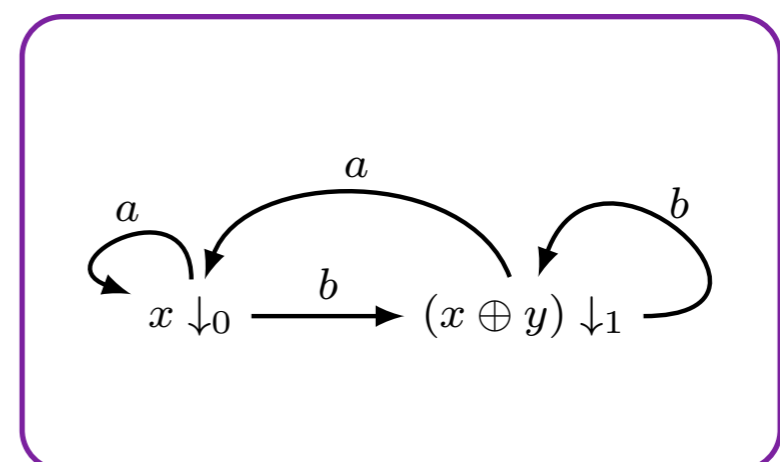
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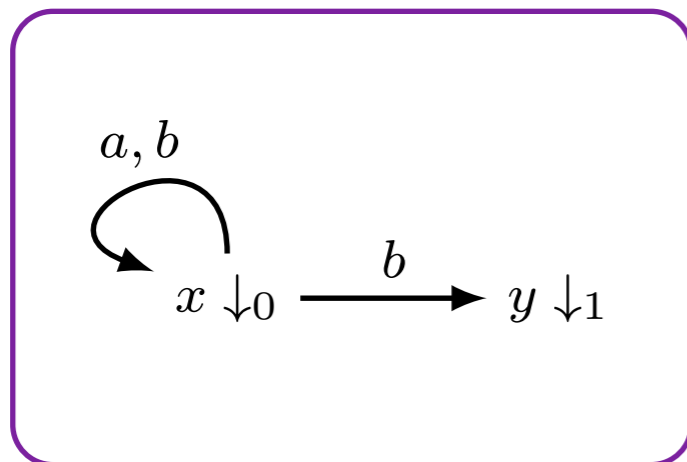
Algebras for \mathcal{P}

join
semilattices
with bottom

Traces via determinisation

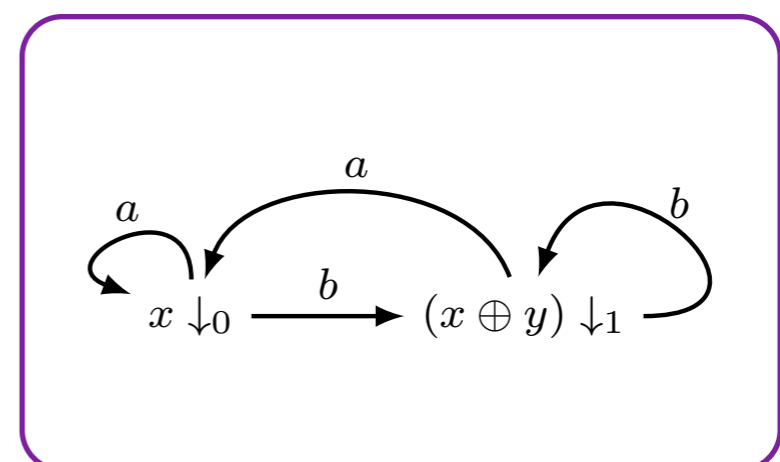
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DFA

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$$x \downarrow o_x, y \downarrow o_y$$

$$x \oplus y \downarrow o_x \oplus o_y$$

finite powerset !

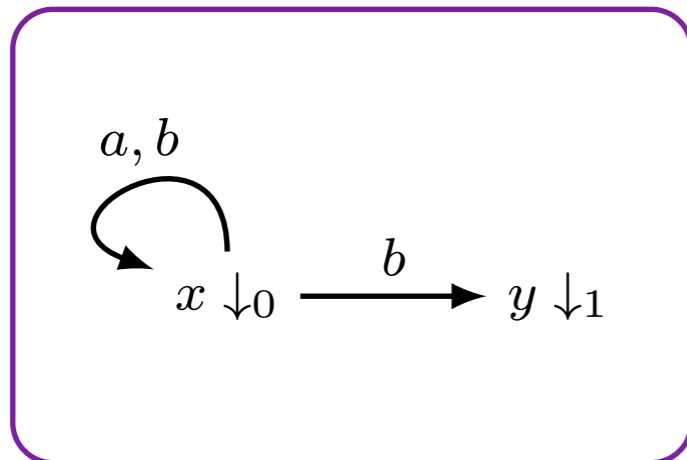
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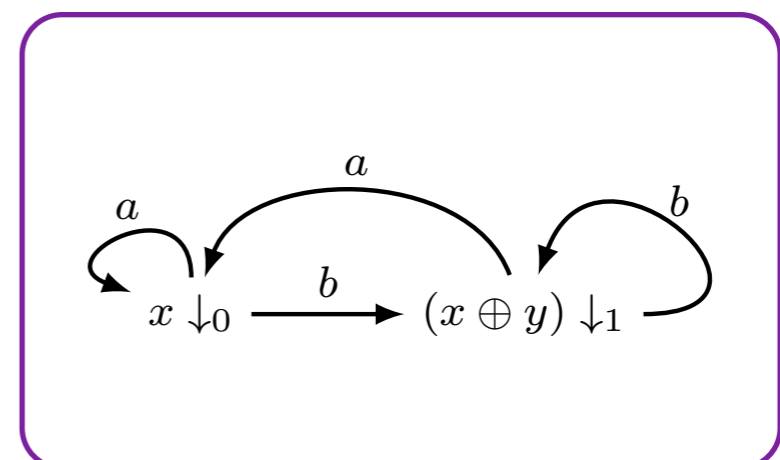
NFA

$$X \rightarrow 2 \times (\mathcal{P}X)^A$$



DFA

$$\mathcal{P}X \rightarrow 2 \times (\mathcal{P}X)^A$$



$$\frac{x \xrightarrow{a} t_x, y \xrightarrow{a} t_y}{x \oplus y \xrightarrow{a} t_x \oplus t_y}$$

$$\frac{x \downarrow o_x, y \downarrow o_y}{x \oplus y \downarrow o_x \oplus o_y}$$

finite powerset !

Algebras for \mathcal{P}

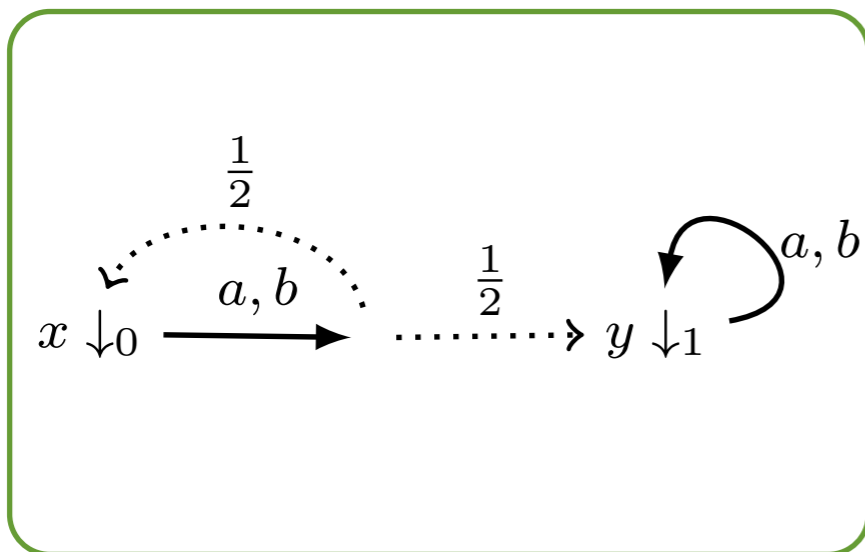
join
semilattices
with bottom

$$2 = \mathcal{P}1$$

Traces via determinisation

Rabin PA

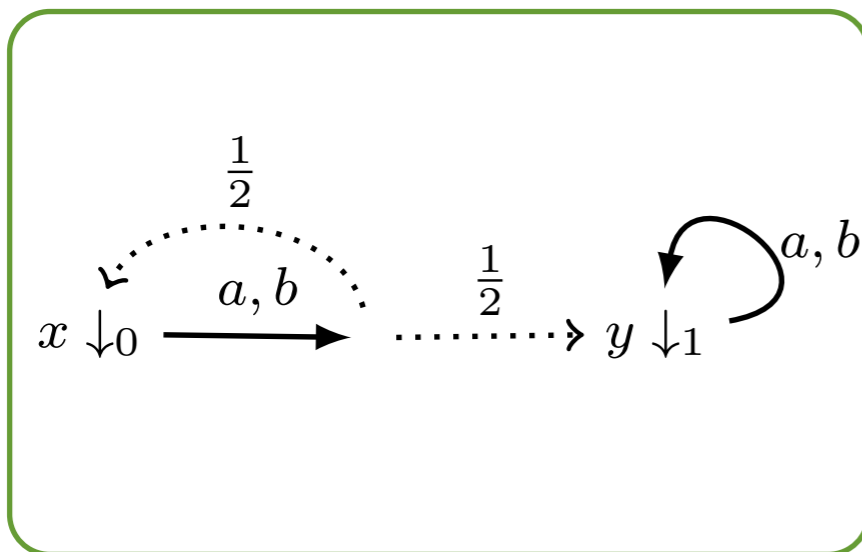
$$X \rightarrow [0, 1] \times (\mathcal{D}_{\leq 1} X)^A$$



Traces via determinisation

Rabin PA

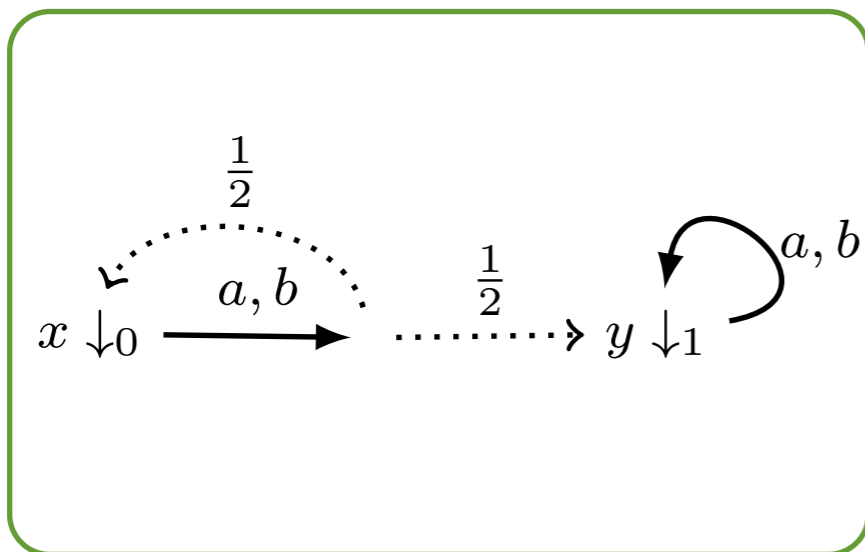
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Traces via determinisation

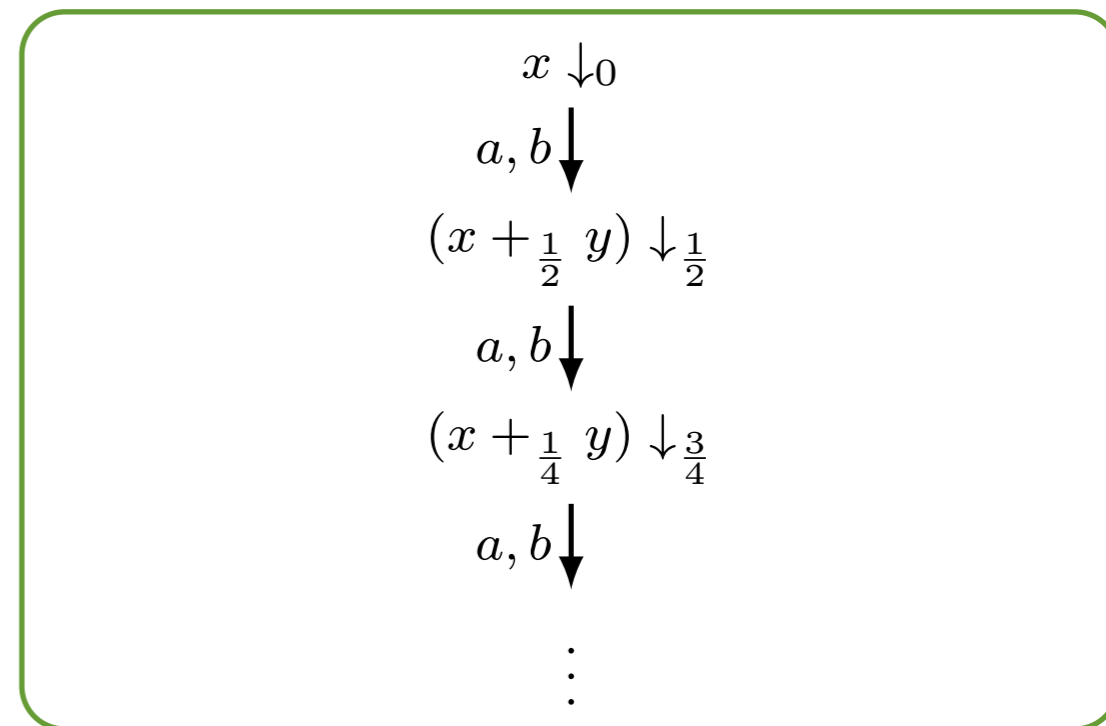
Rabin PA

$$X \rightarrow [0, 1] \times (\mathcal{D}_{\leq 1} X)^A$$



DPA

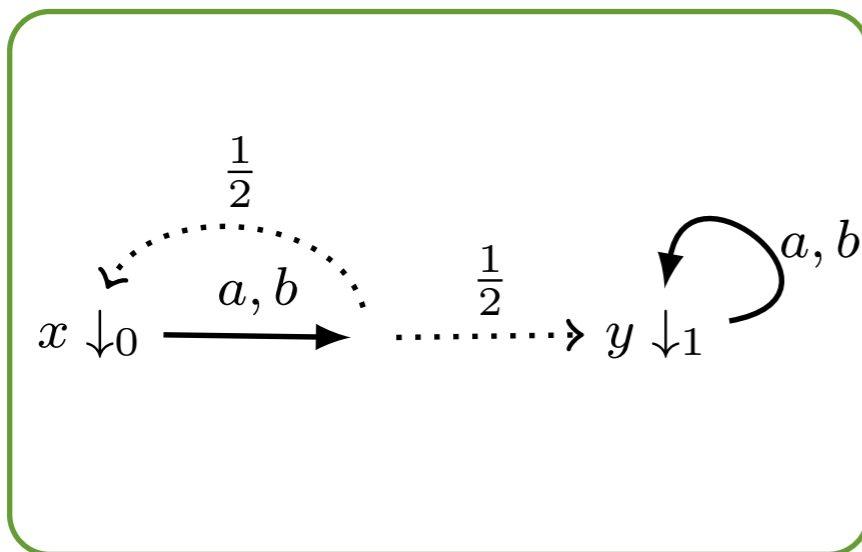
$$\mathcal{D}_{\leq 1} X \rightarrow [0, 1] \times (\mathcal{D}_{\leq 1} X)^A$$



Traces via determinisation

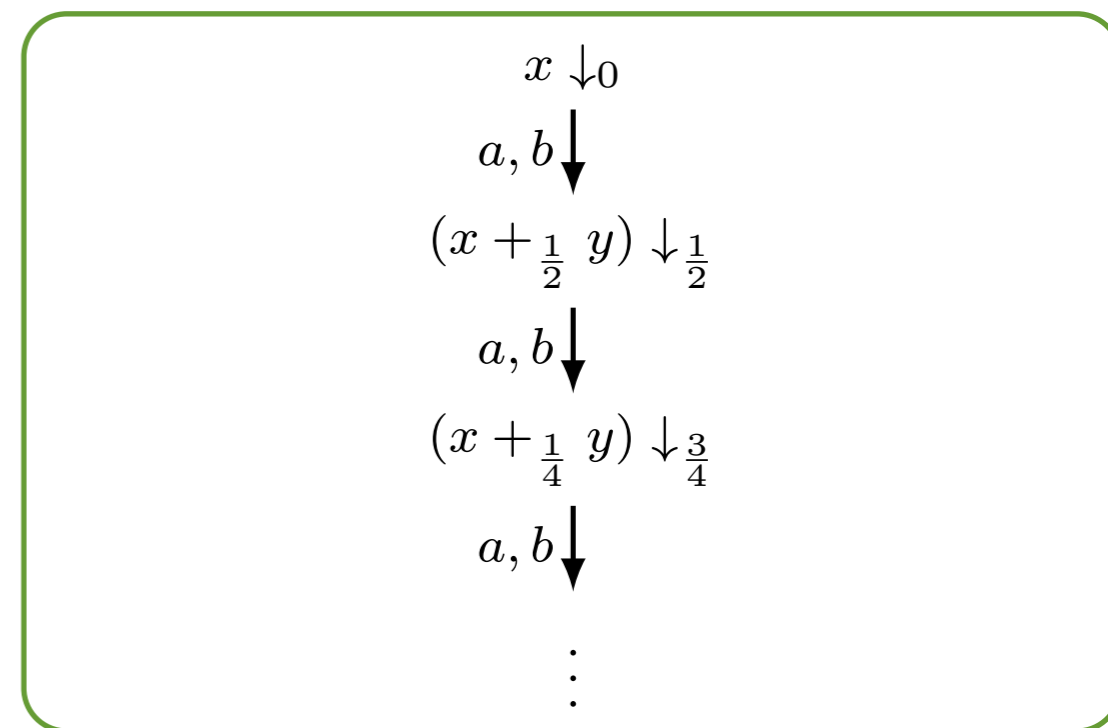
Rabin PA

$$X \rightarrow [0, 1] \times (\mathcal{D}_{\leq 1} X)^A$$



DPA

$$\mathcal{D}_{\leq 1} X \rightarrow [0, 1] \times (\mathcal{D}_{\leq 1} X)^A$$

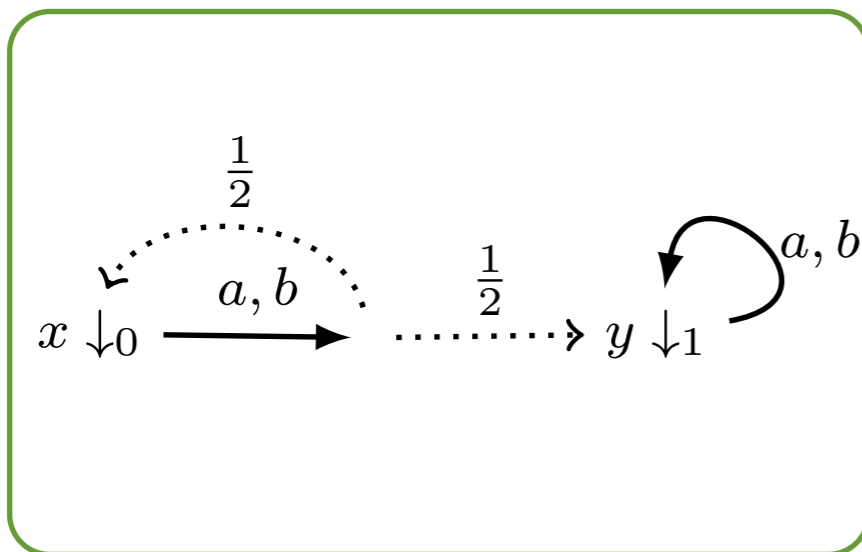


Algebras for $\mathcal{D}_{\leq 1}$

Traces via determinisation

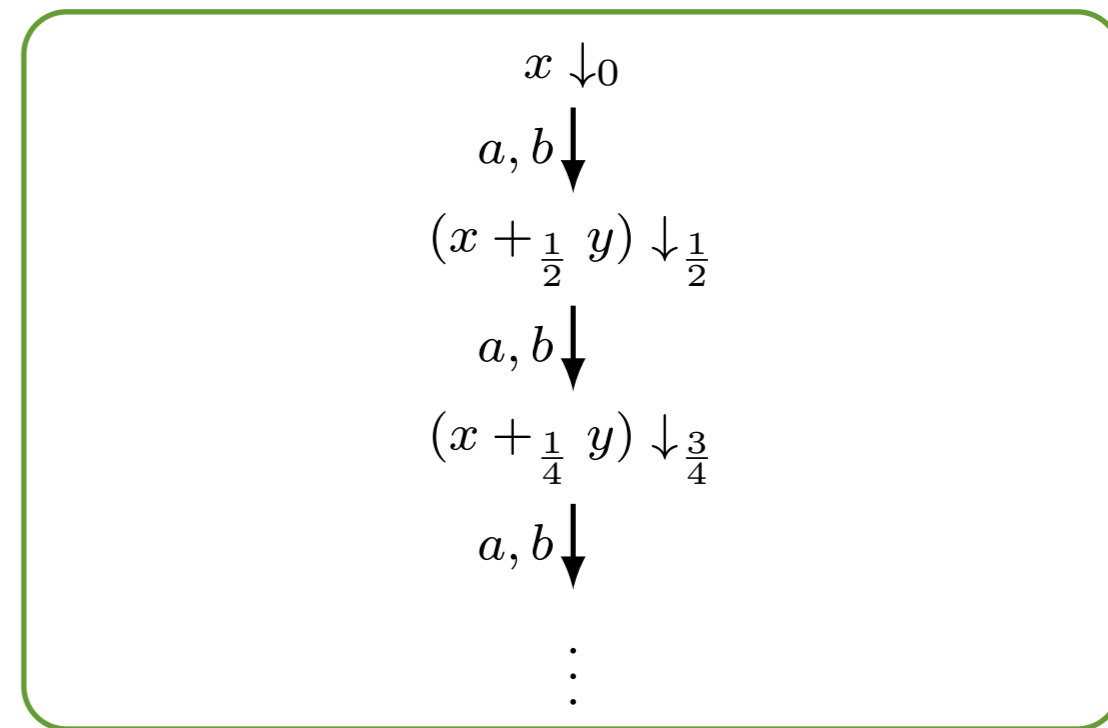
Rabin PA

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DPA

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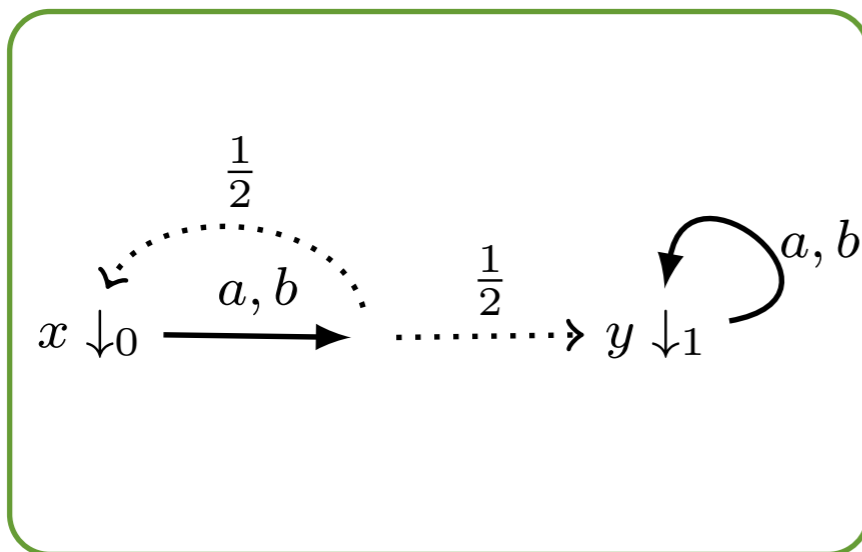
Algebras for $\mathcal{D}_{\leq 1}$

positive convex algebras

Traces via determinisation

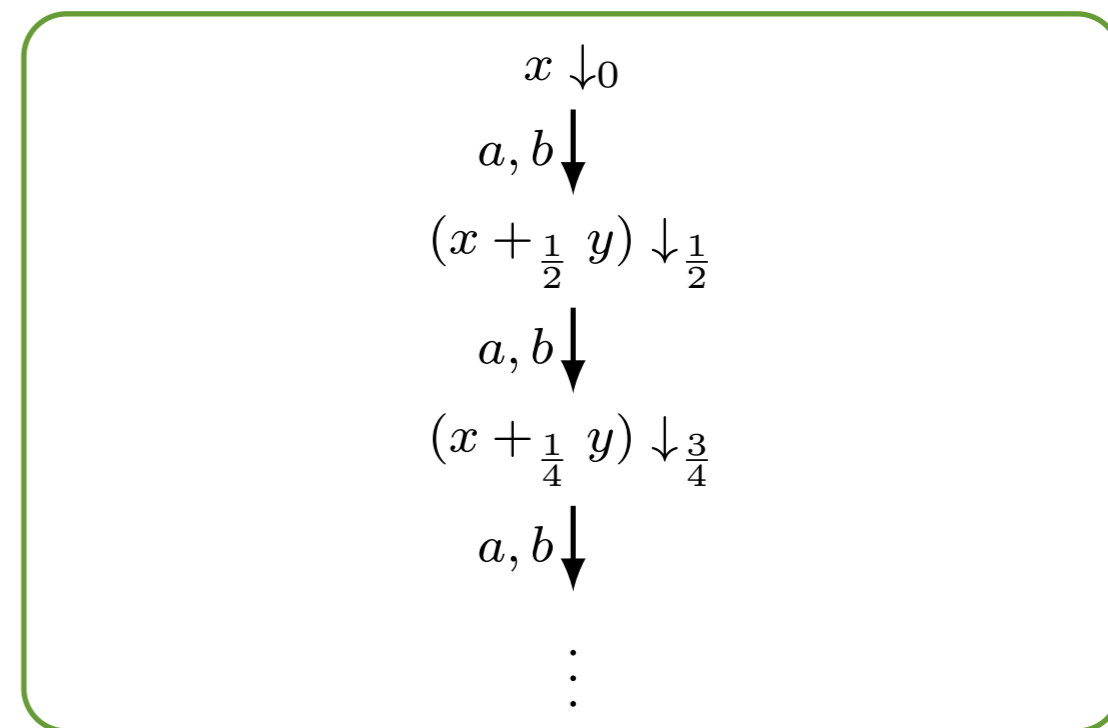
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DPA

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Algebras for $\mathcal{D}_{\leq 1}$

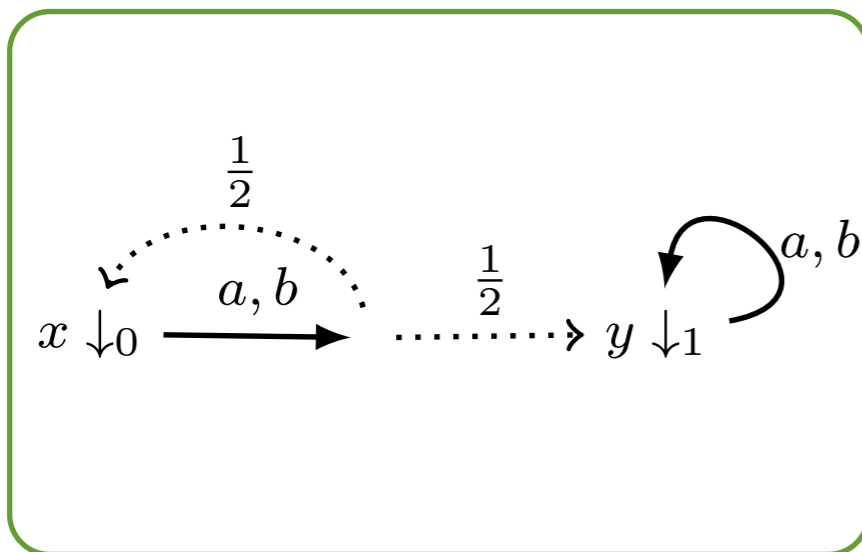
positive
convex
algebras

finitely supported
subdistributions!

Traces via determinisation

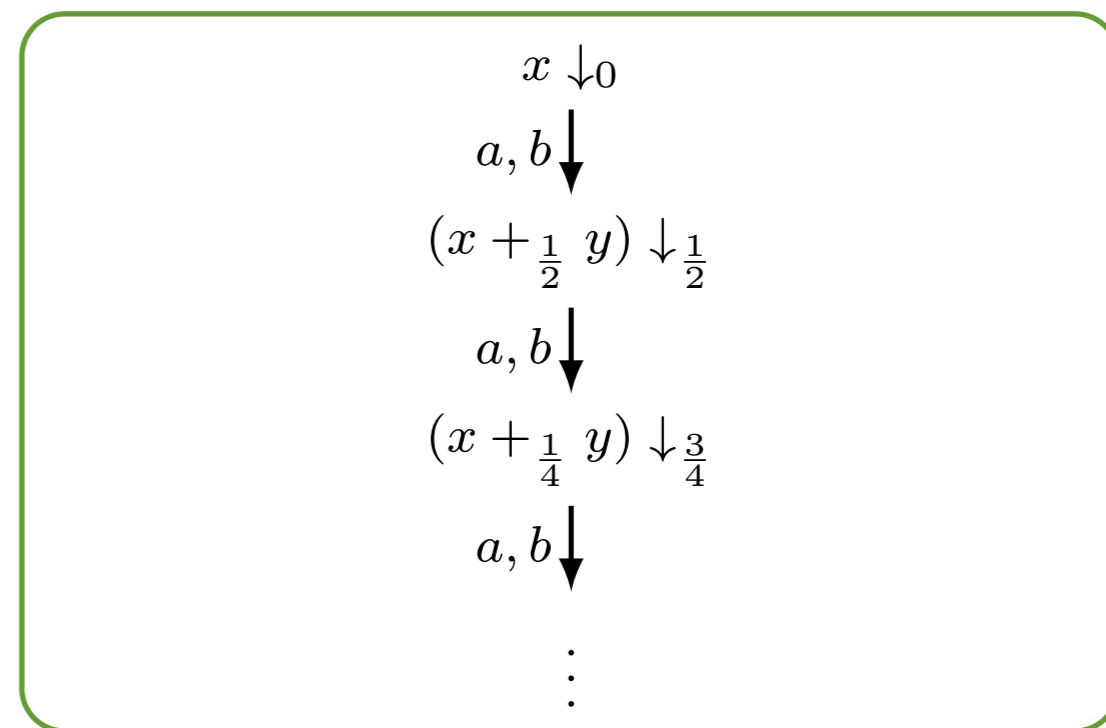
Rabin PA

$$X \rightarrow [0, 1] \times (\mathcal{D}_{\leq 1} X)^A$$



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Algebras for $\mathcal{D}_{\leq 1}$

positive
convex
algebras

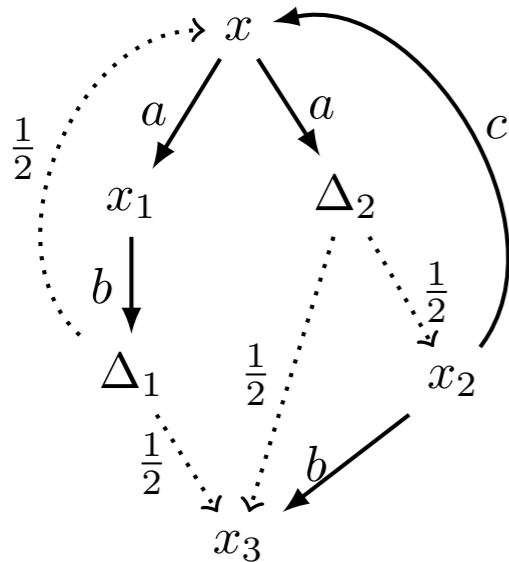
finitely supported
subdistributions!

$$[0, 1] = \mathcal{D}_{\leq 1} 1$$

Traces via determinisation

Simple NPA

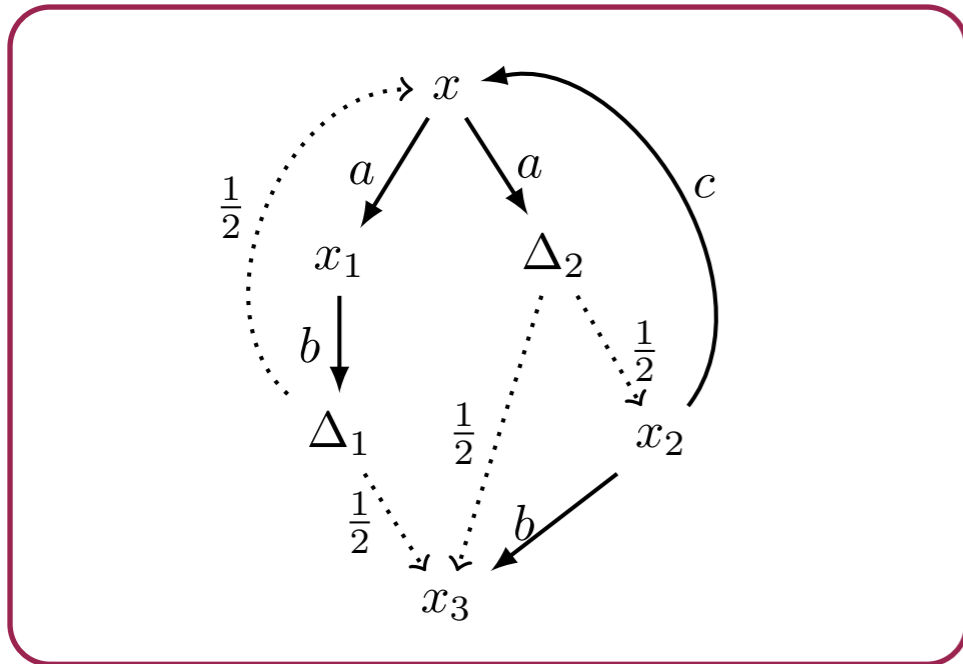
$$X \rightarrow ? x (eX)^A$$



Traces via determinisation

Simple NPA

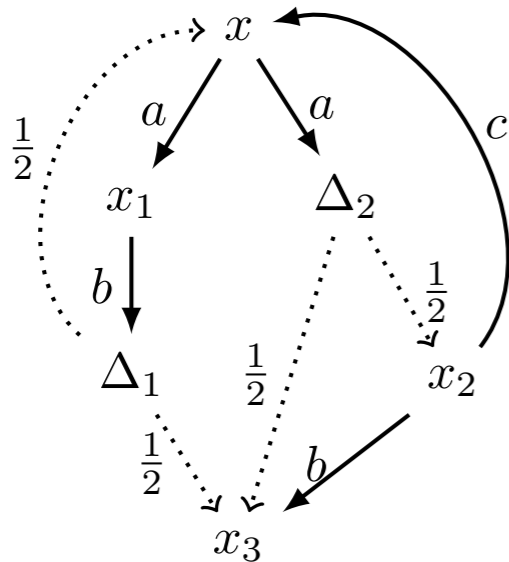
$$X \rightarrow ? x (eX)^A$$



Traces via determinisation

Simple NPA

$$X \rightarrow ? x (eX)^A$$



DNPA

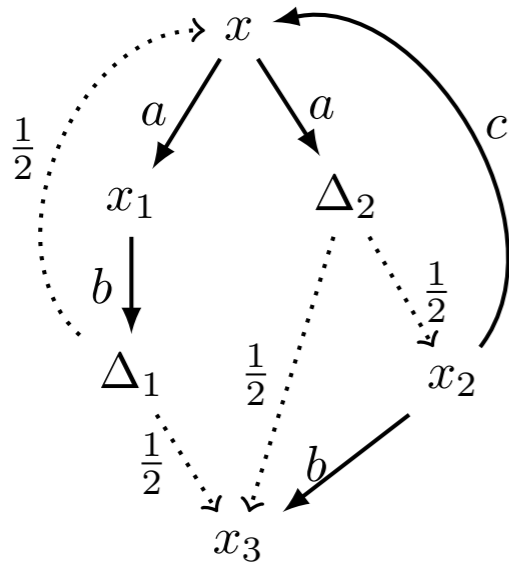
$$eX \rightarrow ? x (eX)^A$$

$$\begin{array}{c} x_1 \\ \downarrow a \\ x_1 \oplus (x_3 + \frac{1}{2} x_2) \end{array}$$

Traces via determinisation

Simple NPA

$$X \rightarrow ? x (eX)^A$$



DNPA

$$eX \rightarrow ? x (eX)^A$$

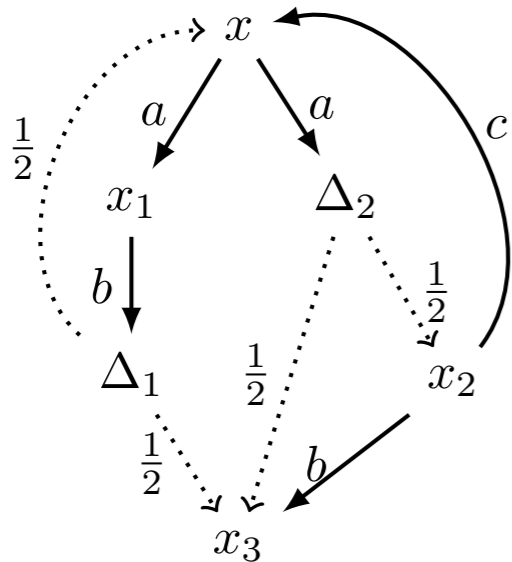
$$\begin{array}{c}
 x_1 \\
 \downarrow a \\
 x_1 \oplus (x_3 + \frac{1}{2} x_2)
 \end{array}$$

Algebras for C

Traces via determinisation

Simple NPA

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DNPA

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 x_1 \\
 \downarrow a \\
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 \end{array}$$

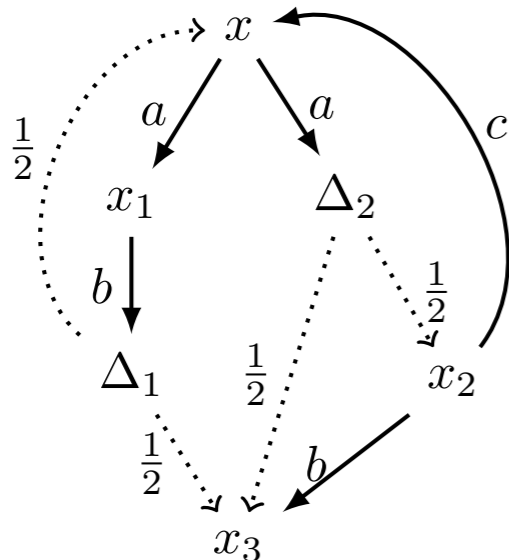
Algebras for C

convex
semilattices

Traces via determinisation

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DNPA

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 x_1 \\
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Algebras for \mathcal{C}

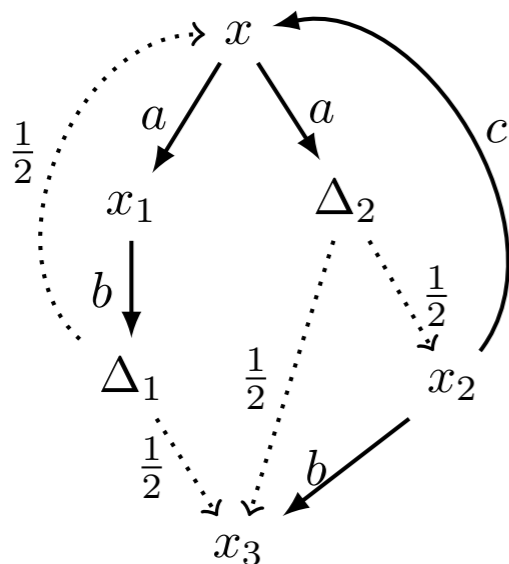
convex
semilattices

finitely generated
convex sets of distr...

Traces via determinisation

Simple NPA

$$X \rightarrow ? \times (\mathcal{E}X)^A$$



DNPA

$$\mathcal{E}X \rightarrow ? \times (\mathcal{E}X)^A$$

$$\begin{array}{c}
 x_1 \\
 \downarrow a \\
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 \end{array}$$



$$? = \mathcal{E}1$$

Algebras for \mathcal{C}

convex
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Presentation for \mathcal{C}

Algebras for \mathcal{C}

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Bonchi, S.,
Vignudelli '19

Presentation for \mathcal{C}

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$$\mathbb{A} = (A, \oplus, +_p)$$

Presentation for \mathcal{C}

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Presentation for \mathcal{C}

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$$\mathbb{A} = (A, \oplus, +_p)$$

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$$\begin{array}{lcl} (x \oplus y) \oplus z & \stackrel{(A)}{=} & x \oplus (y \oplus z) \\ x \oplus y & \stackrel{(C)}{=} & y \oplus x \\ x \oplus x & \stackrel{(I)}{=} & x \end{array}$$

$$\begin{array}{lcl} (x +_q y) +_p z & \stackrel{(A_p)}{=} & x +_{pq} (y +_{\frac{p(1-q)}{1-pq}} z) \\ x +_p y & \stackrel{(C_p)}{=} & y +_{1-p} x \\ x +_p x & \stackrel{(I_p)}{=} & x \end{array}$$

$$(x \oplus y) +_p z \stackrel{(D)}{=} (x +_p z) \oplus (y +_p z)$$

Presentation for \mathcal{C}

Algebras for \mathcal{C}

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convex
algebra

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Presentation for \mathcal{C}

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S., Woracek
'15, '17, '18

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Presentation for \mathcal{C}

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'15, '17, '18

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distributivity

Three variants for “*e*”

Three variants for “*e*”

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Vignudelli '19

Three variants for “ e ”

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Vignudelli '19

We explore the whole space
and
prove coincidence with “local”
trace semantics

Three variants for “ e ”

Algebras for “ e ”

nonempty f.g.
convex subsets of
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I. pointed
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 $\max, +_p$
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$[0,1]$
with
max, $+_p$
 $0 = “e”1$

III.
with top

$[0,1]$
with
min, $+_p$
 $0 = “e”1$

Three things to take home:

- 1.** Semantics via determinisation is easy for automata with M-effects
- 2.** Having a presentation for M gives us syntax
- 3.** Having the syntax makes determinisation natural !

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Many general properties
follow
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Thank You !