

# Traces, Executions, and Schedulers, Coalgebraically

Bart Jacobs University of Nijmegen

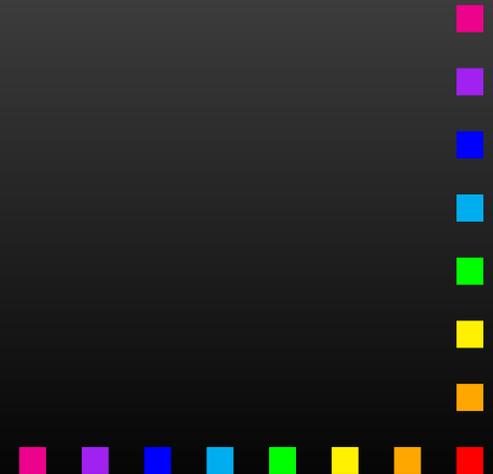
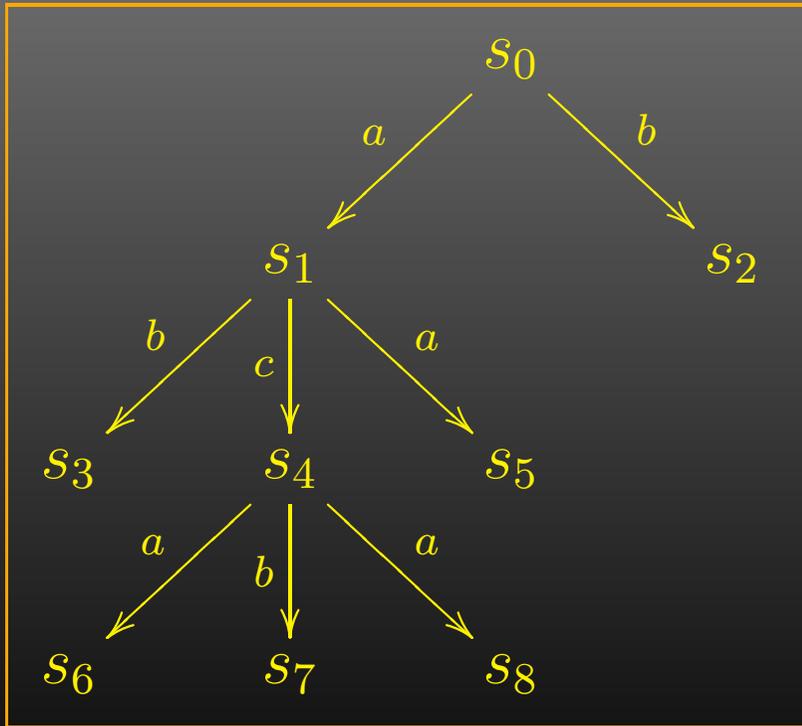
and

Ana Sokolova University of Salzburg



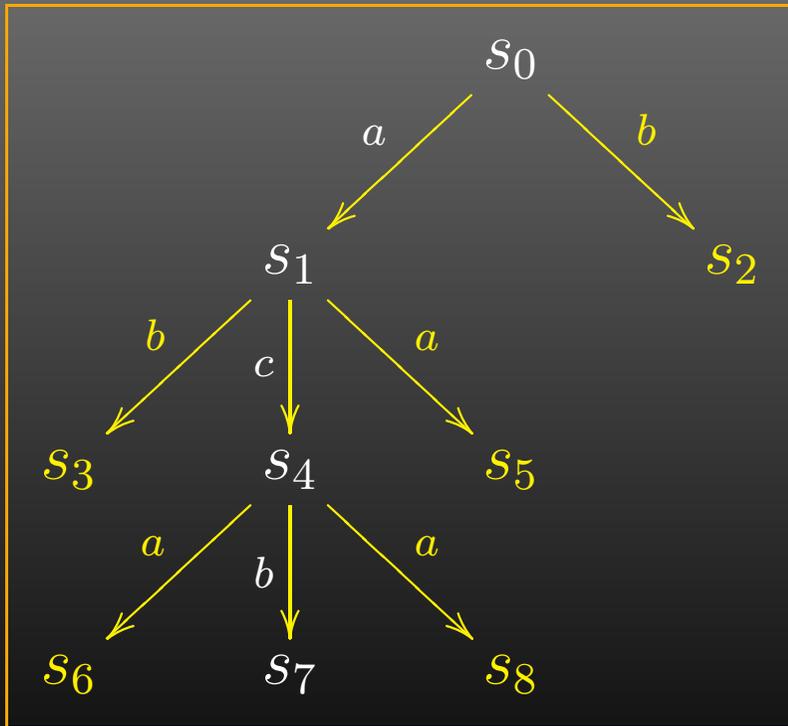
# LTS

$\mathcal{P}(A \times \_)$



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Execution (thin and fat):

$$s_0 \xrightarrow{a} s_1 \xrightarrow{c} s_4 \xrightarrow{b} s_7$$

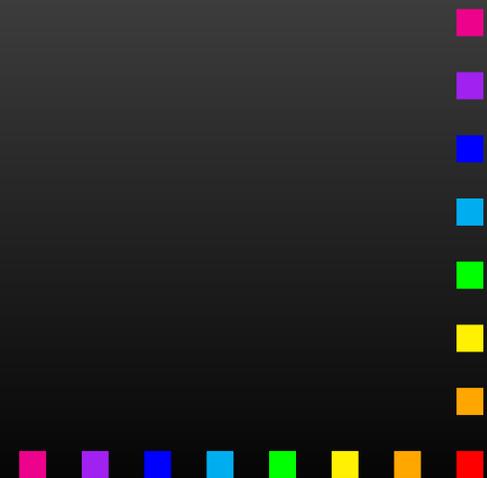
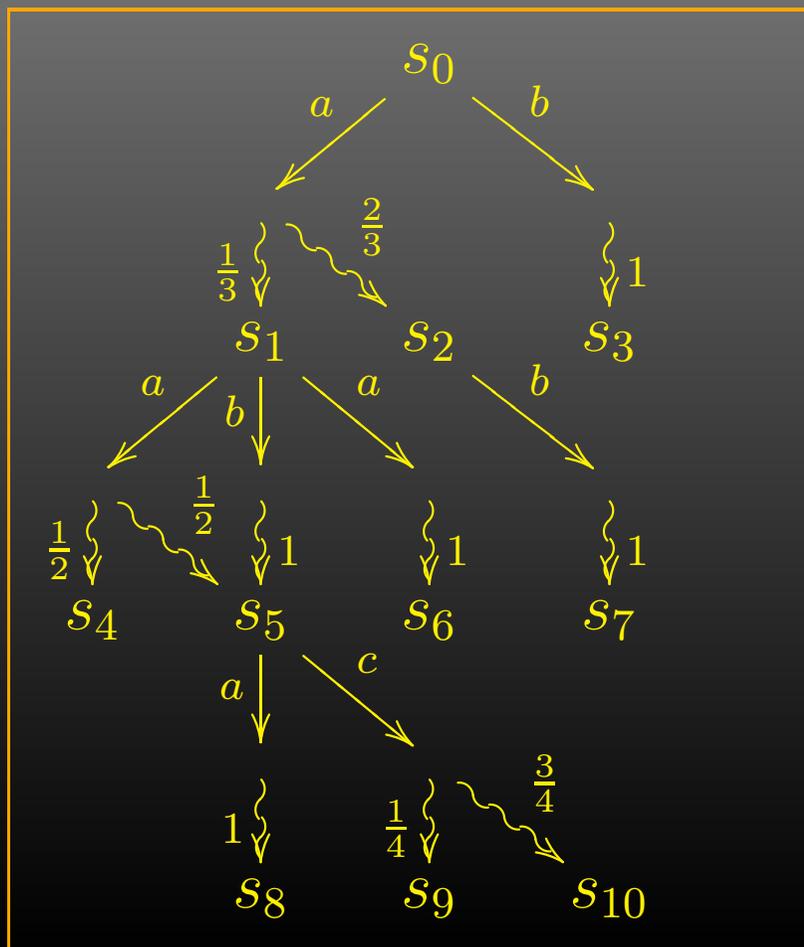
Trace (thin and fat):  $acb$

Scheduler (deterministic):

$$\xi : S \times (A \times S)^* \rightarrow A \times S + 1$$

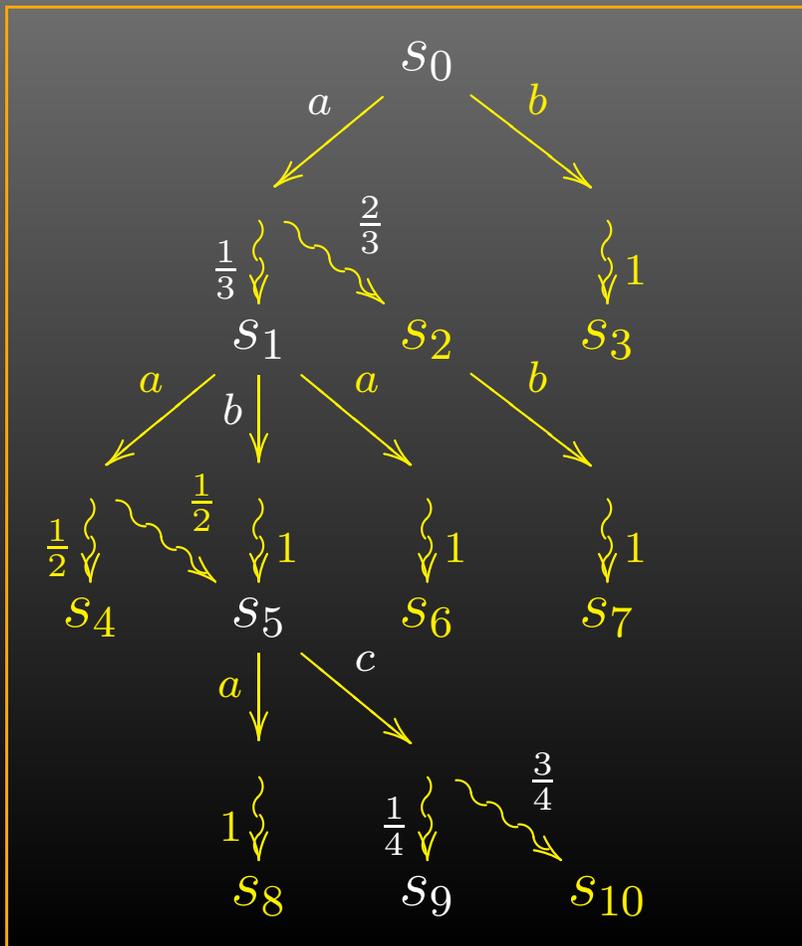
# Simple Segala systems

$\mathcal{P}(A \times \mathcal{D})$



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$\mathcal{P}(A \times \mathcal{D})$



Execution (thin):

$$s_0 \xrightarrow{a, \mu} s_1 \xrightarrow{b} s_5 \xrightarrow{c, \nu} s_9$$

$$\mu = (s_1 \mapsto \frac{1}{3}, s_2 \mapsto \frac{2}{3}),$$

$$\nu = (s_9 \mapsto \frac{1}{4}, s_{10} \mapsto \frac{3}{4})$$

Trace (fat): **via schedulers**

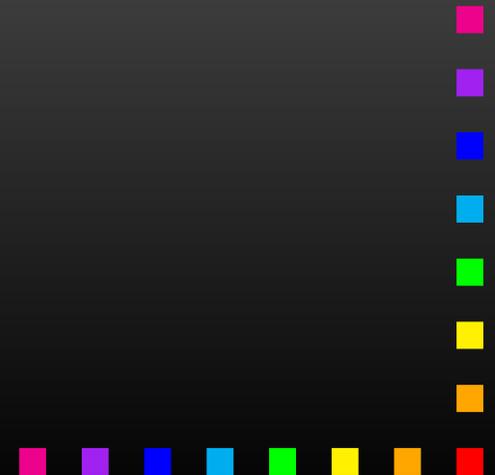
Scheduler (deterministic):

$$\xi : S \times (A \times \mathcal{D}(S) \times S)^* \rightarrow A \times \mathcal{D}(S) + 1$$



# For $\mathcal{P}F$ -coalgebras

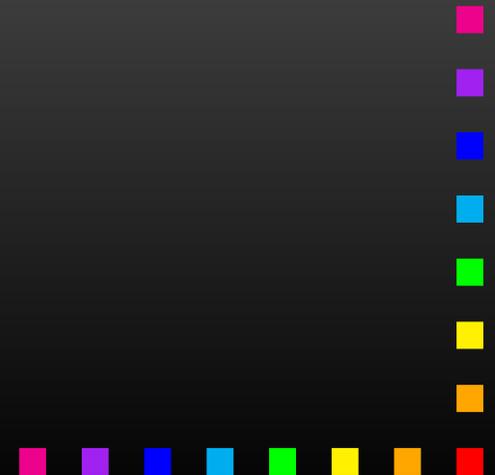
\* Execution?



# For $\mathcal{PF}$ -coalgebras

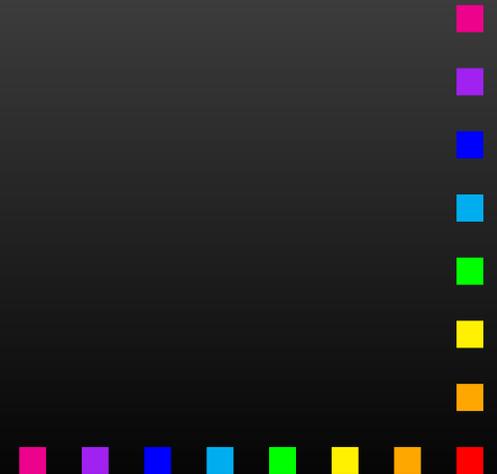
## \* Execution?

- initial work by Jacobs (on **fat** executions)



# For $\mathcal{P}F$ -coalgebras

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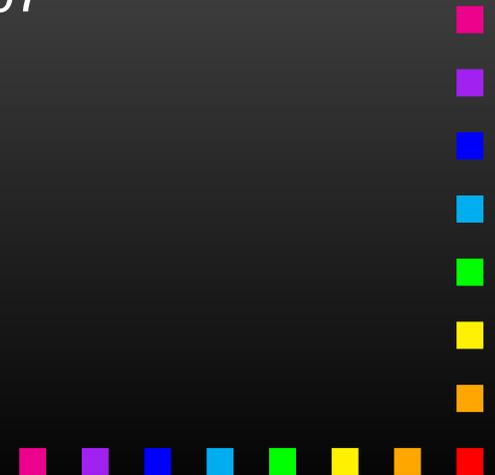
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- Hasuo&Jacobs, CALCO'05  
Hasuo&Jacobs&Sokolova, CMCS'06, LMCS'07  
Jacobs, CMCS'08  
(all on **fat** traces)



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## \* Scheduler?

$$\xi : S \times (F(S) \times S)^* \rightarrow F(S) + 1$$



# Coalgebraic fat traces

For  $\mathcal{T}F$  - coalgebras, if  $\clubsuit$ , then

$$\begin{array}{c}
 F_{\mathcal{Kl}(\mathcal{T})}I \\
 \eta_{I \circ \alpha} \downarrow \cong \\
 I
 \end{array}$$

is initial

$$\begin{array}{c}
 F_{\mathcal{Kl}(\mathcal{T})}I \\
 \eta_{FI \circ \alpha^{-1}} \uparrow \cong \\
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is final

in  $\mathcal{Kl}(\mathcal{T})$

[for  $\alpha : FI \xrightarrow{\cong} I$  the initial  $F$ -algebra in Sets]



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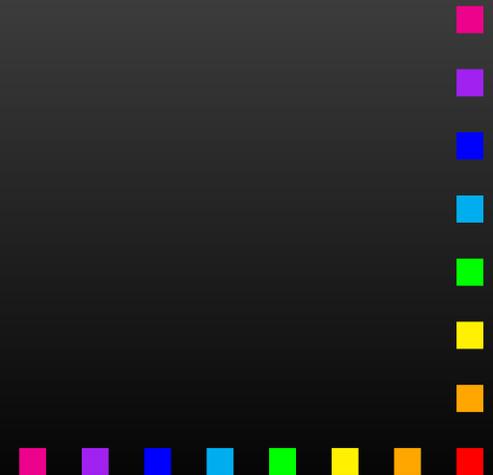
[for  $\alpha : FI \xrightarrow{\cong} I$  the initial  $F$ -algebra in Sets]

$\clubsuit$  involves: existence of  $\alpha$ , lifting of  $F$  to  $\mathcal{Kl}(\mathcal{T})$  via a distributive law, order-enriched  $\mathcal{Kl}(\mathcal{T})$



# Coalgebraic fat traces

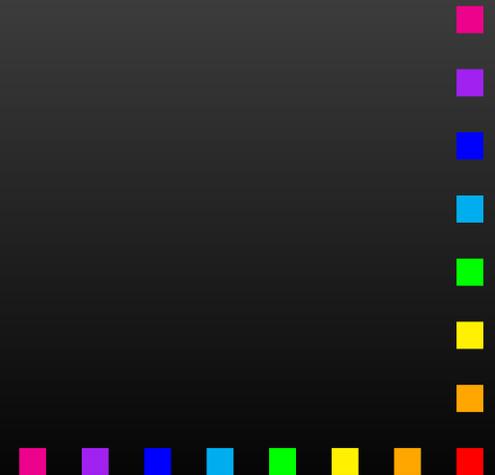
For  $X \xrightarrow{c} TFX$  in **Sets**       $(X \xrightarrow{c} F_{\mathcal{K}l(\mathcal{T})}X$  in  $\mathcal{K}l(\mathcal{T})$ )



# Coalgebraic fat traces

For  $X \xrightarrow{c} \mathcal{T}FX$  in **Sets**       $(X \xrightarrow{c} F_{\mathcal{K}l(\mathcal{T})}X$  in  $\mathcal{K}l(\mathcal{T})$ )

there exists a unique fat trace map  $\text{ftr}_c : X \rightarrow \mathcal{T}I$  in **Sets**  
by coinduction:



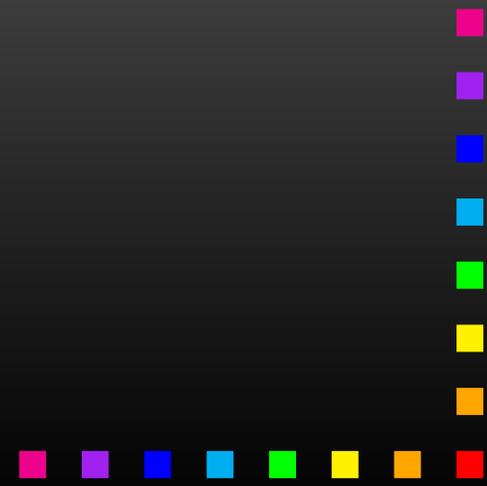
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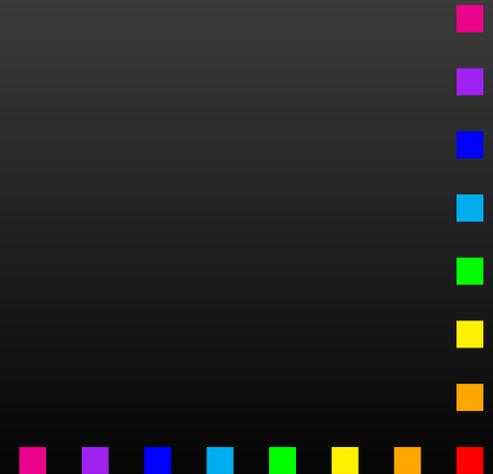
in  $\mathcal{Kl}(\mathcal{T})$

$$\begin{array}{ccc}
 F_{\mathcal{Kl}(\mathcal{T})}X & \xrightarrow{F_{\mathcal{Kl}(\mathcal{T})}(\text{ftr}_c)} & F_{\mathcal{Kl}(\mathcal{T})}I \\
 \uparrow c & & \uparrow \alpha \cong \\
 X & \xrightarrow{\text{ftr}_c} & I
 \end{array}$$



# Coalgebraic fat executions

For  $X \xrightarrow{c} TFX$  in **Sets**



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For  $X \xrightarrow{c} \mathcal{T}FX$  in **Sets**

if  $F(X \times \_)$  has an initial algebra  $\alpha_X : F(X \times I_X) \xrightarrow{\cong} I_X$

then there exists a unique fat execution map

$$\text{fexc}_c : X \rightarrow \mathcal{T}I_X \text{ in } \mathbf{Sets}$$

by coinduction:



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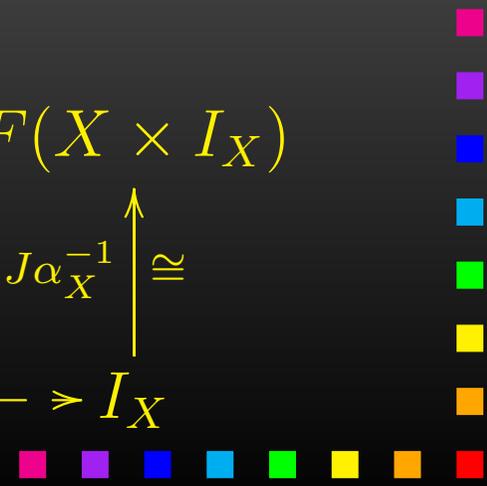
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$$\text{fexc}_c : X \rightarrow \mathcal{T}I_X \text{ in } \mathbf{Sets}$$

by coinduction:

in  $\mathcal{Kl}(\mathcal{T})$

$$\begin{array}{ccc}
 F(X \times X) & \xrightarrow{F_{\mathcal{Kl}(\mathcal{T})}(\text{id} \times \text{fexc}_c)} & F(X \times I_X) \\
 \uparrow F_{\mathcal{Kl}(\mathcal{T})} J(\delta) \circ c & & \uparrow J\alpha_X^{-1} \cong \\
 X & \xrightarrow{\text{fexc}_c} & I_X
 \end{array}$$



# From executions to traces

By initiality in **Sets**, we get a projection map:

$$\begin{array}{ccc} F(X \times I_X) & \xrightarrow{F(\text{id} \times \pi_X)} & F(X \times I) \\ \alpha_X \downarrow \cong & & \downarrow \alpha \circ F(\pi_2) \\ I_X & \xrightarrow{\pi_X} & I \end{array}$$



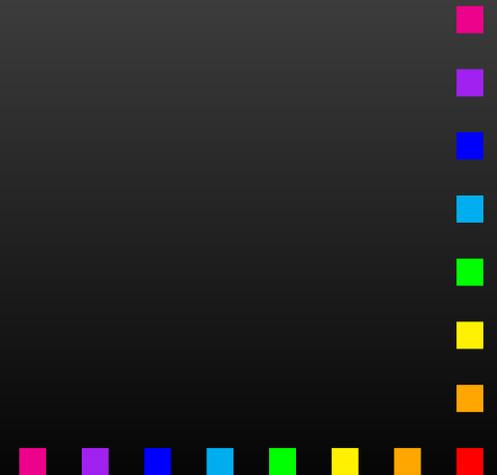
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 I_X & \xrightarrow{\pi_X} & I
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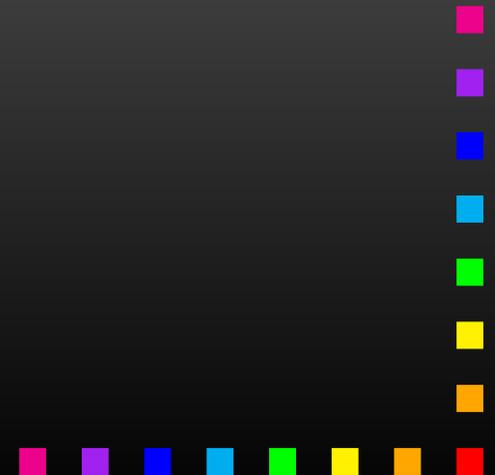
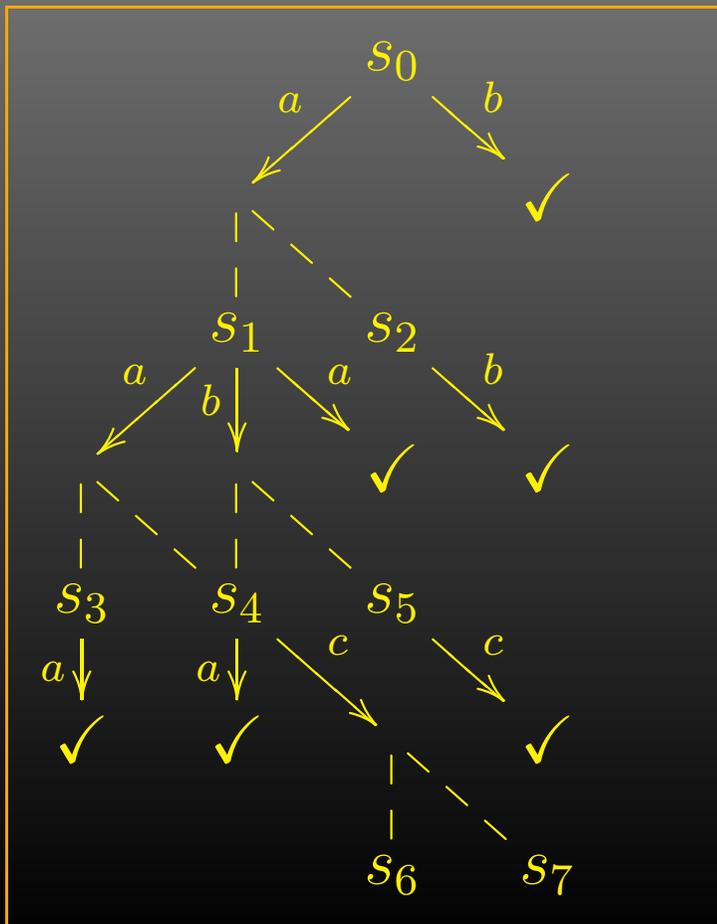
and the execution-to-trace equation is

$$\text{ftr}_c = J(\pi_X) \circ \text{fexc}_c \quad \text{in } \mathcal{Kl}(\mathcal{T})$$



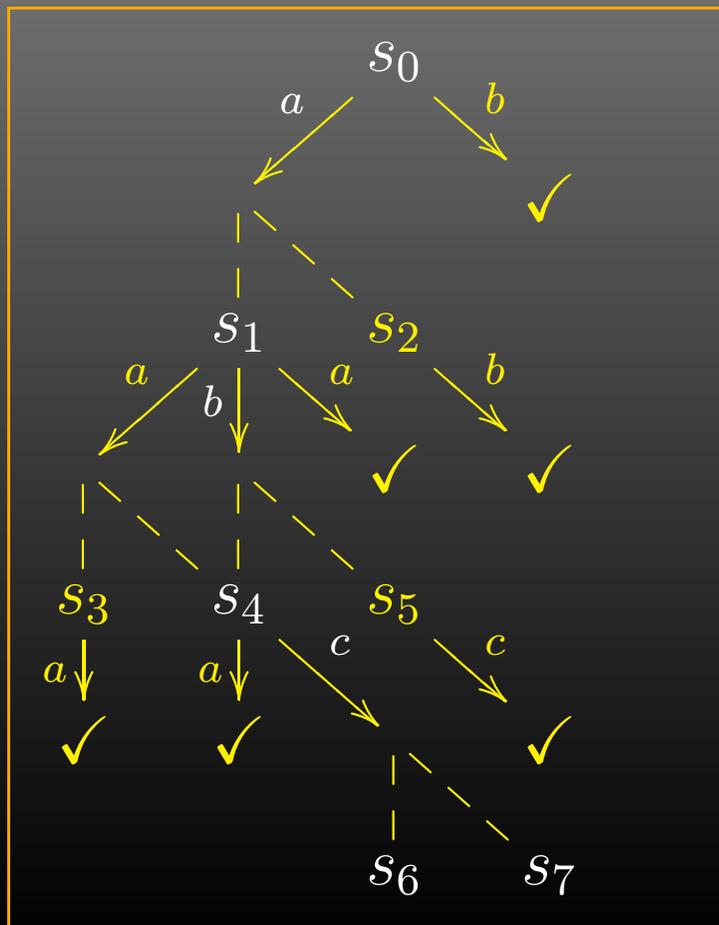
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$$\mathcal{P}(A + A \times \_2)$$



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Execution (thin):

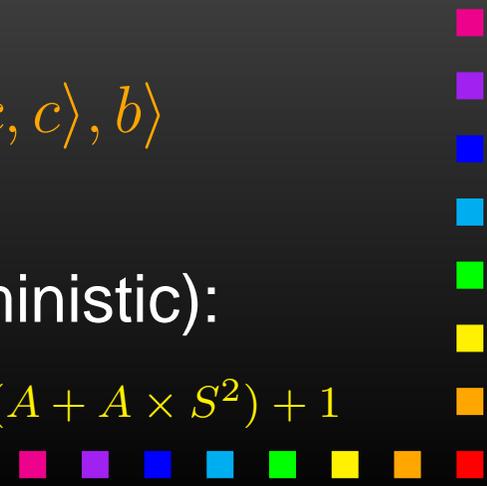
$$s_0 \xrightarrow{a, \langle s_1, s_2 \rangle} s_1 \xrightarrow{b, \langle s_4, s_5 \rangle} s_4 \xrightarrow{c, \langle s_6, s_7 \rangle} s_6$$

not a fat execution!

Trace (fat):  $\langle a, \langle b, c, c \rangle, b \rangle$

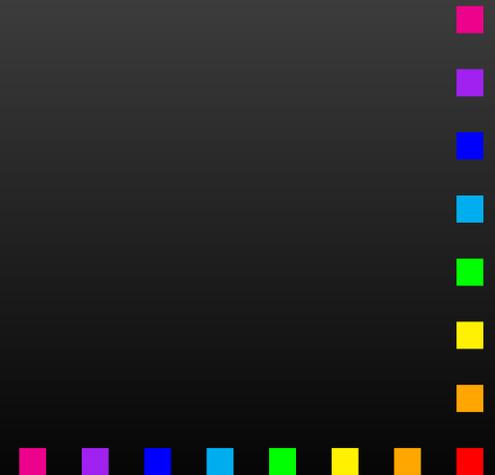
Scheduler (deterministic):

$$\xi : S \times (A \times S^2 \times S)^* \rightarrow (A + A \times S^2) + 1$$



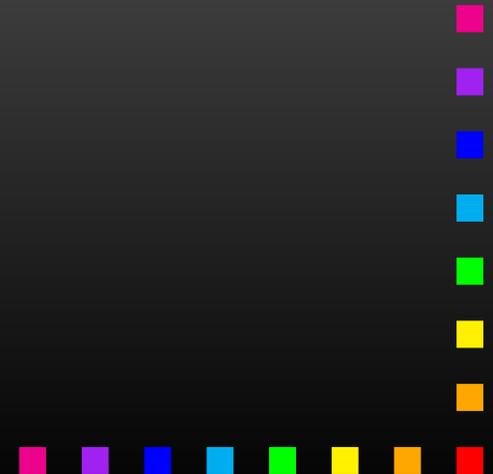
# In our view

- **traces** of interest are the usual **fat** traces



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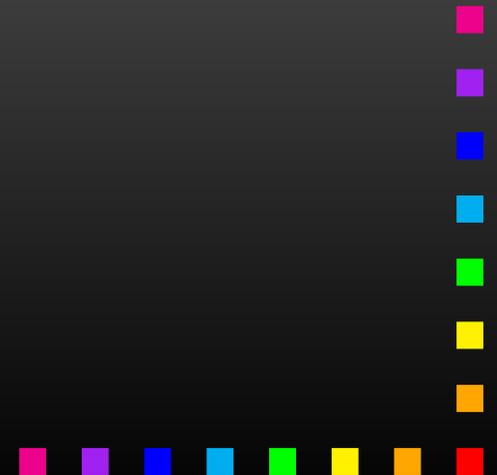
- **traces** of interest are the usual **fat** traces
- **executions** for scheduling are **thin** executions.



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they provide history!



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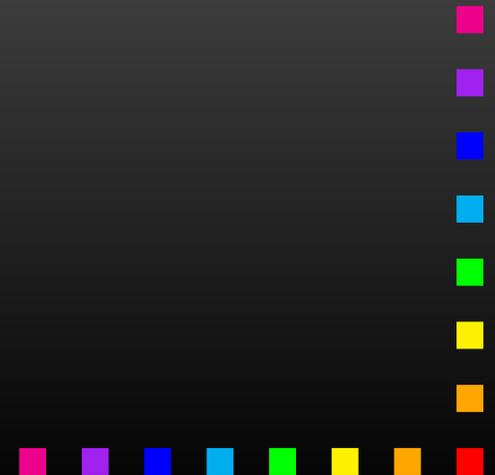
- what are **thin** executions and traces **coalgebraically**?



# Splitting functors

Any **subpower** functor  $F$  [wpp, with  $\rho : F \Rightarrow \mathcal{P}, \dots$ ]

splits as  $F_{\emptyset}(X) + F_{\bullet}(X) \xrightarrow{\cong} F(X)$

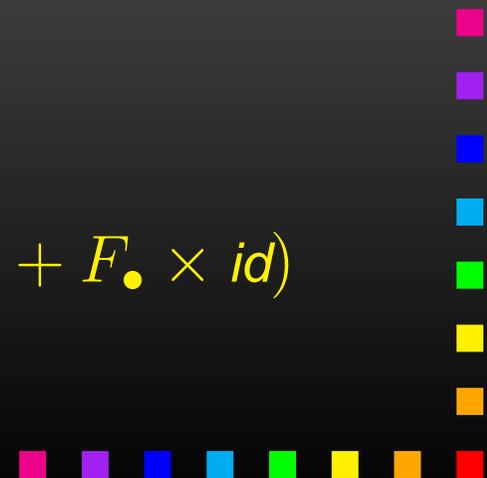


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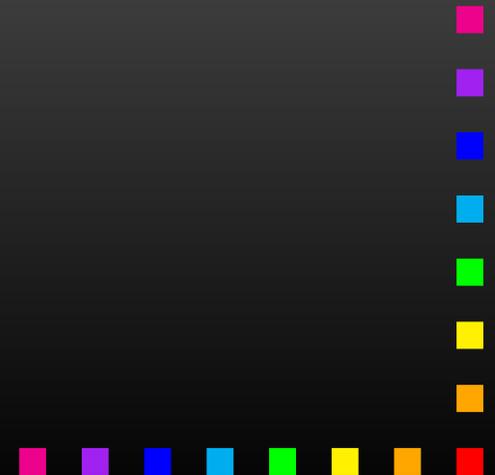
splits as  $F_{\emptyset}(X) + F_{\bullet}(X) \xrightarrow{\cong} F(X)$

- \* both  $F_{\emptyset}$  and  $F_{\bullet}$  are functors
- \*  $F_{\emptyset}(X) = F(0)$
- \*  $F_{\bullet}$  is subpower via  $F_{\bullet} \Rightarrow F \Rightarrow \mathcal{P}$
- \* there is a natural map  $\text{split} : F \Rightarrow \mathcal{P}(F(0) + F_{\bullet} \times id)$



# Coalgebraic thin traces

For  $X \xrightarrow{c} \mathcal{P}FX$  in **Sets** (with  $F$ -subpower)

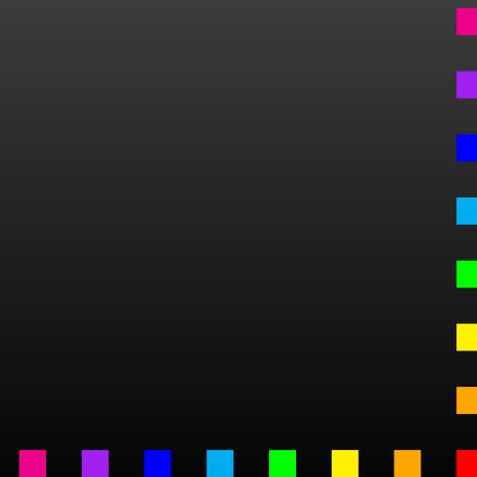


# Coalgebraic thin traces

For  $X \xrightarrow{c} \mathcal{P}FX$  in **Sets** (with  $F$ -subpower)

consider  $G = F(0) + F_{\bullet}(1) \times \_$ ,  $L = F_{\bullet}(1)^* \times F(0)$

thin trace map by coinduction:



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$$\begin{array}{ccc}
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 \uparrow c_{tt} & & \uparrow \cong \\
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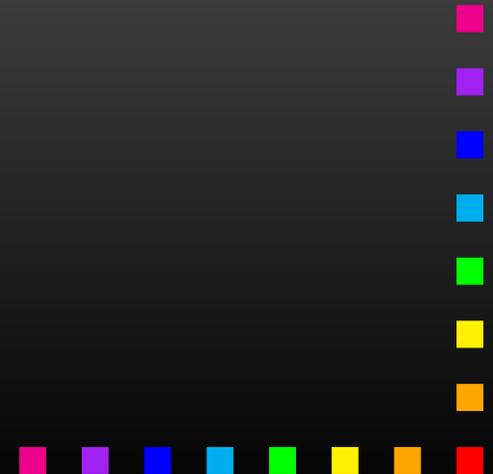
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for the “thinned” coalgebra:

$$c_{\text{ft}} = \mathcal{P}id + (F_{\bullet}(!) \times id) \circ \mu \circ \mathcal{P}\text{split} \circ c$$



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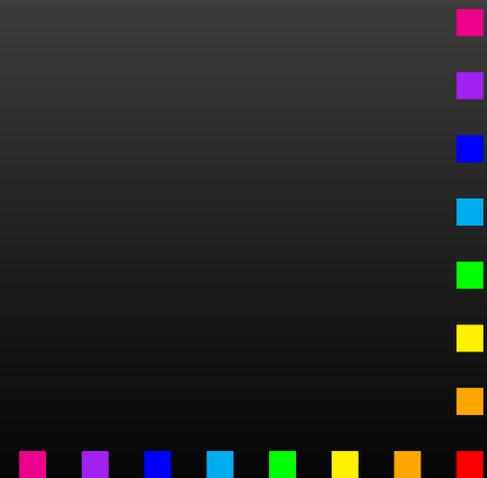
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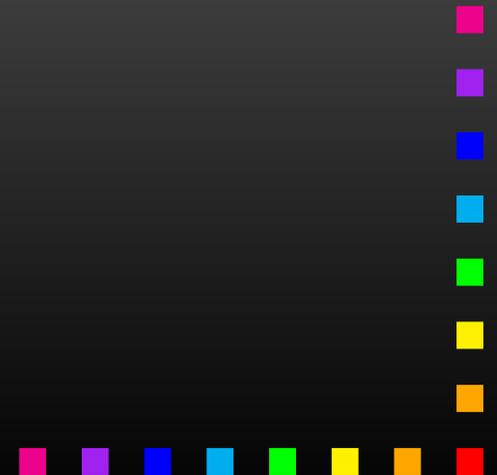
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From fat to thin traces one gets via a **paths** map ... **difficult**



# Binary trees - thin traces

Binary tree  $c : X \rightarrow \mathcal{P}(A + A \times X^2)$  thins via



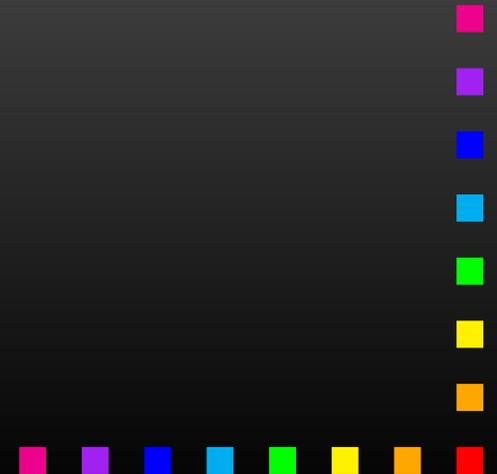
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$$\text{split} : A + A \times X^2 \rightarrow \mathcal{P}(A + A \times X^2 \times X)$$

$$\text{split}(a) = a$$

$$\text{split}(\langle a, x_1, x_2 \rangle) = \{\langle \langle a, x_1, x_2 \rangle, x_1 \rangle, \langle \langle a, x_1, x_2 \rangle, x_2 \rangle\}$$



# Binary trees - thin traces

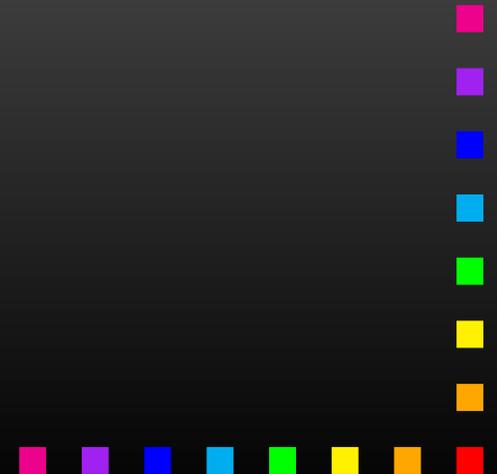
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to a coalgebra  $c_{lt} : X \rightarrow \mathcal{P}(A + A \times X)$



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to a coalgebra  $c_{lt} : X \rightarrow \mathcal{P}(A + A \times X)$

$$a \in c_{lt}(x) \iff a \in c(x)$$

$$\langle a, y \rangle \in c_{lt}(x) \iff \exists z. \langle a, y, z \rangle \in c(x) \vee \langle a, z, y \rangle \in c(x)$$



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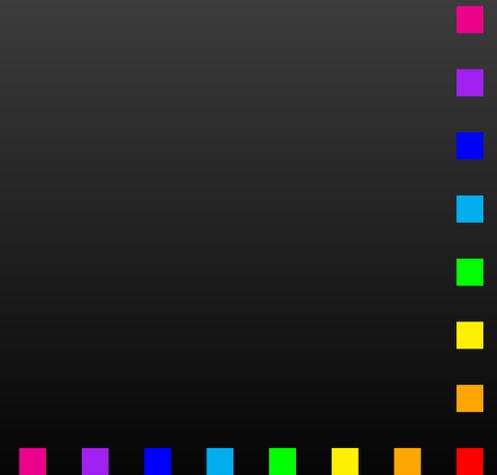
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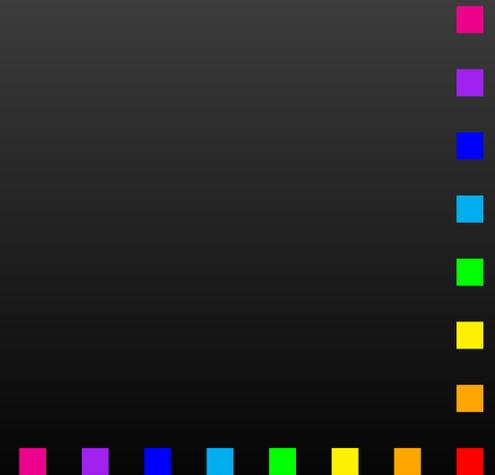
$$\langle a, y \rangle \in c_{lt}(x) \iff \exists z. \langle a, y, z \rangle \in c(x) \vee \langle a, z, y \rangle \in c(x)$$

and thin traces are as expected...



# Coalgebraic thin executions

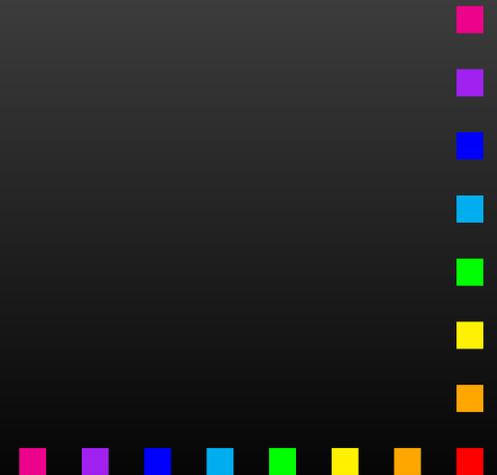
are elements of  $L_X = (F_{\bullet}(X) \times X)^* \times F(0)$



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the initial algebra of  $F(0) + (F_{\bullet}(X) \times X) \times \_$



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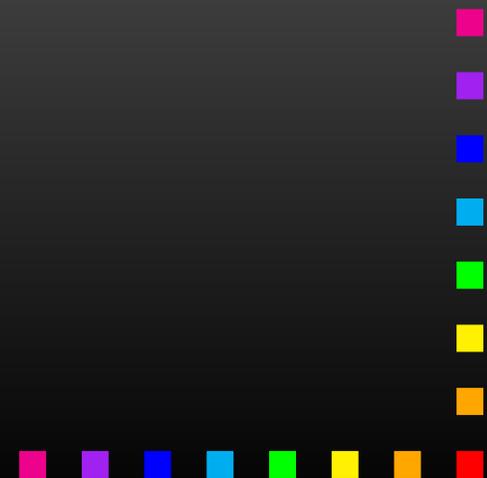
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the initial algebra of  $F(0) + (F_{\bullet}(X) \times X) \times \_$

as before, by:

- \* copying states
- \* changing the coalgebra structure using `split`
- \* coinduction

we get  $\text{texc}_c : X \rightarrow \mathcal{P}(L_X)$



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- \* changing the coalgebra structure using `split`
- \* coinduction

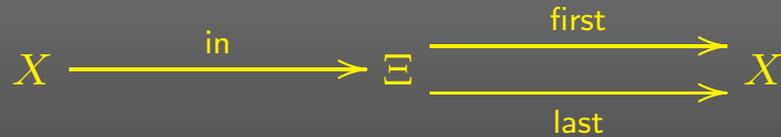
we get  $\text{texc}_c : X \rightarrow \mathcal{P}(L_X)$

- \* thin executions and thin traces are related via a projection from  $L_X$  to  $L$



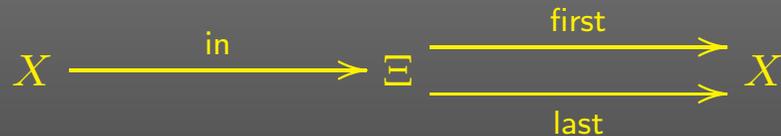
# Back to schedulers

$F$  - subpower,  $\Xi = X \times (F \bullet X \times X)^*$  - “thin executions”

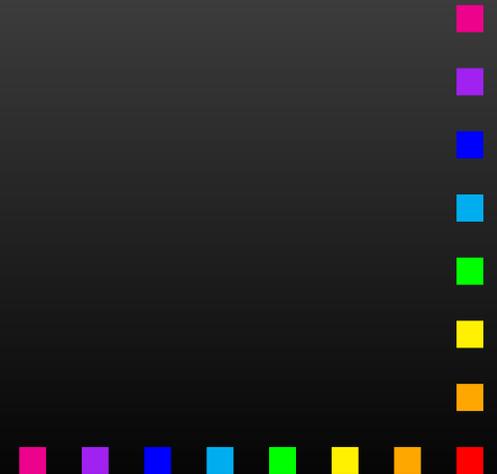
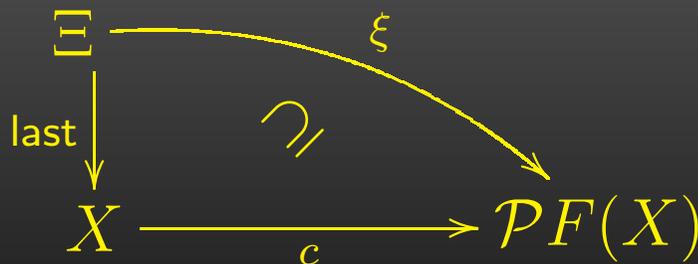


# Back to schedulers

$F$  - subpower,  $\Xi = X \times (F \bullet X \times X)^*$  - “thin executions”



$\xi$  is a **non-deterministic** scheduler for  $c : X \rightarrow \mathcal{P}F(X)$  if



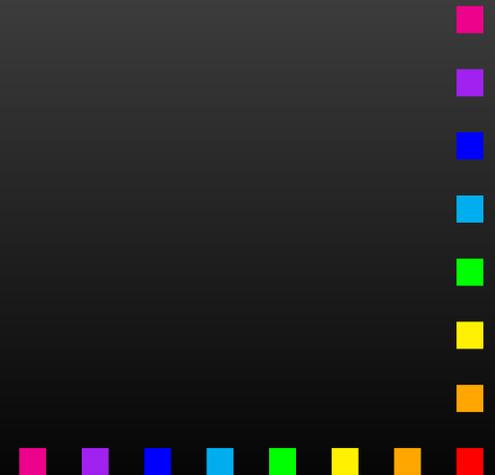
# Back to schedulers

$F$  - subpower,  $\Xi = X \times (F \bullet X \times X)^*$  - “thin executions”

$$X \xrightarrow{\text{in}} \Xi \begin{array}{l} \xrightarrow{\text{first}} \\ \xrightarrow{\text{last}} \end{array} X$$

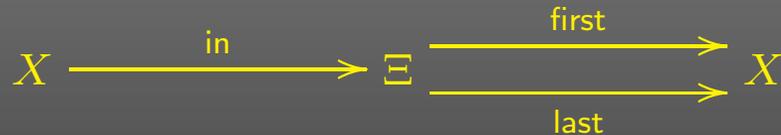
$\xi$  is a  $\sigma$ -type scheduler for  $c : X \rightarrow \mathcal{P}F(X)$  if

$$\begin{array}{ccc} \Xi & \overset{\xi}{\dashrightarrow} & SF(X) \\ \downarrow \text{last} & \cong & \downarrow \sigma \\ X & \xrightarrow{c} & \mathcal{P}F(X) \end{array}$$

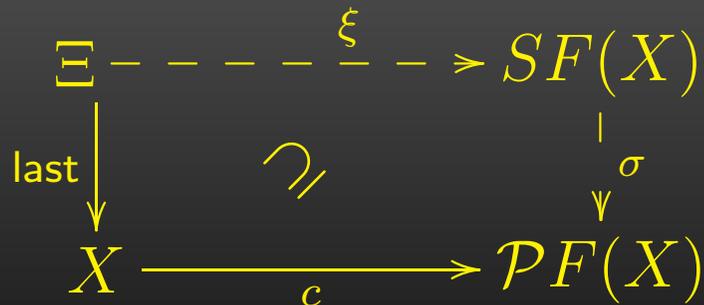


# Back to schedulers

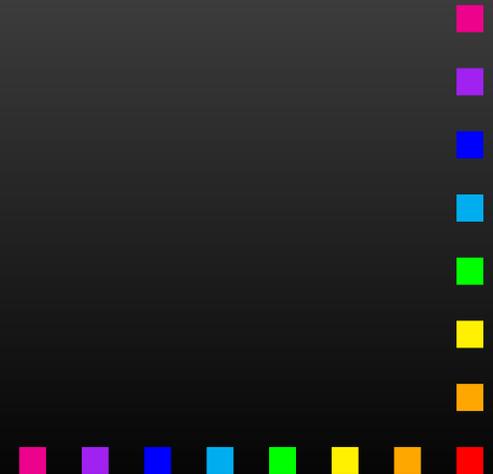
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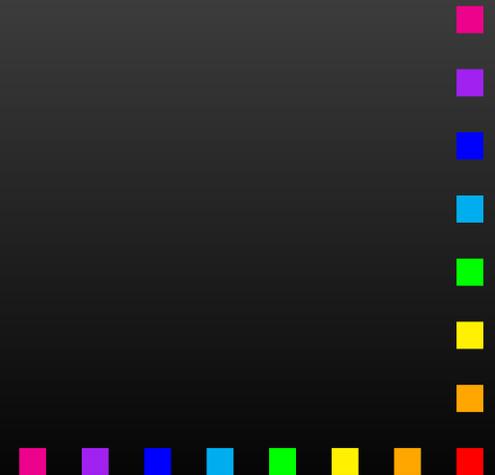
deterministic, randomized, non-deterministic



# Coalgebra under scheduler

$\xi$  - a scheduler for  $c: X \rightarrow \mathcal{P}F(X)$

The coalgebra of executions of  $c$  under  $\xi$  is  $\Xi \xrightarrow{c_\xi} \mathcal{P}F(\Xi) \dots$

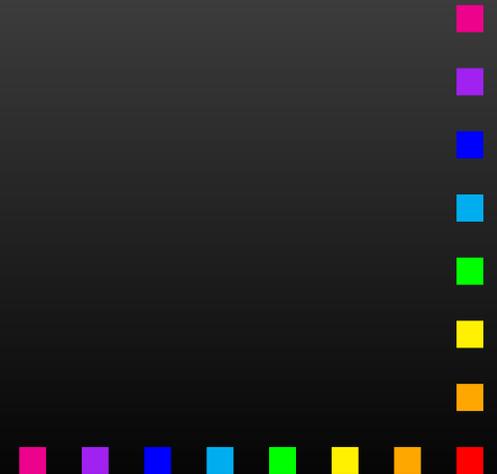


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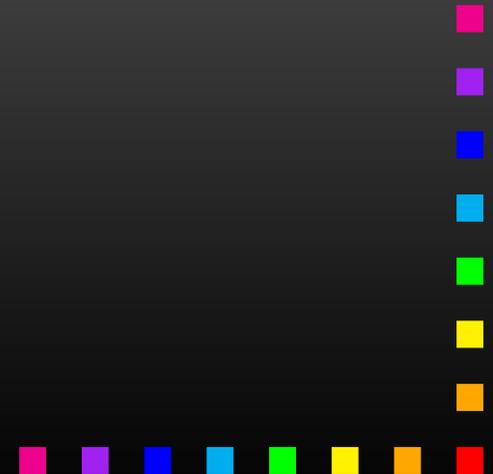
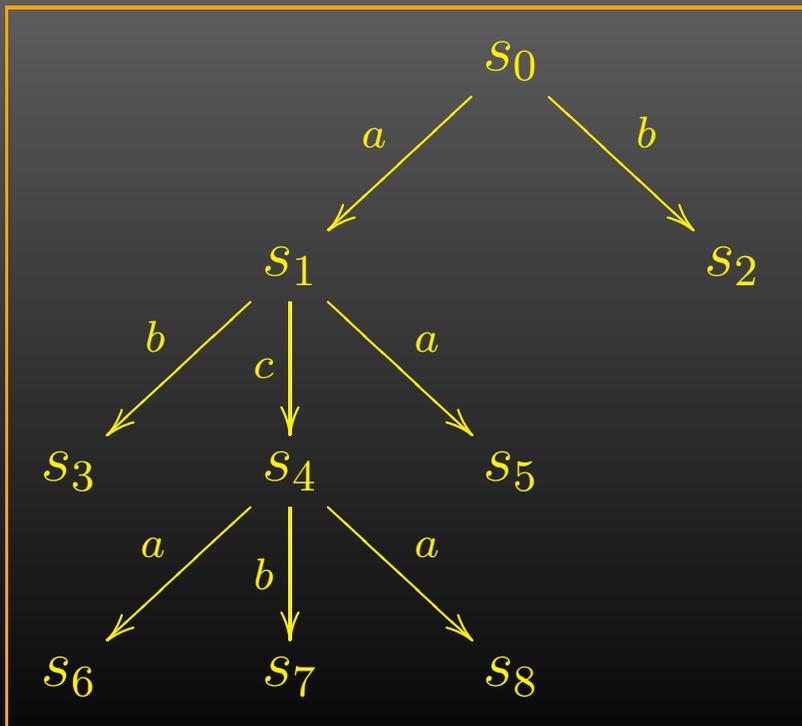
$$\begin{array}{lcl}
 \Xi & \xrightarrow{\langle id, \xi \rangle} & \Xi \times SF(X) \\
 & \xrightarrow{id \times \sigma} & \Xi \times \mathcal{P}F(X) \\
 & \xrightarrow{st} & \mathcal{P}(\Xi \times (F(0) + F_\bullet(X))) \\
 & \xrightarrow{\mathcal{P}_{(dist)}} & \mathcal{P}(\Xi \times F(0) + \Xi \times F_\bullet(X)) \\
 & \xrightarrow{\mathcal{P}_{(\pi_2 + \langle id, \pi_2 \rangle)}} & \mathcal{P}(F(0) + \Xi \times F_\bullet(X) \times F_\bullet(X)) \\
 & \xrightarrow{\mathcal{P}_{(id + st)}} & \mathcal{P}(F(0) + F_\bullet(\Xi \times F_\bullet(X) \times X)) \\
 & \xrightarrow{\mathcal{P}_{(id + F_\bullet(cons))}} & \mathcal{P}F(\Xi)
 \end{array}$$



# Coalgebra under scheduler

$\xi$  - a scheduler for  $c: X \rightarrow \mathcal{P}F(X)$

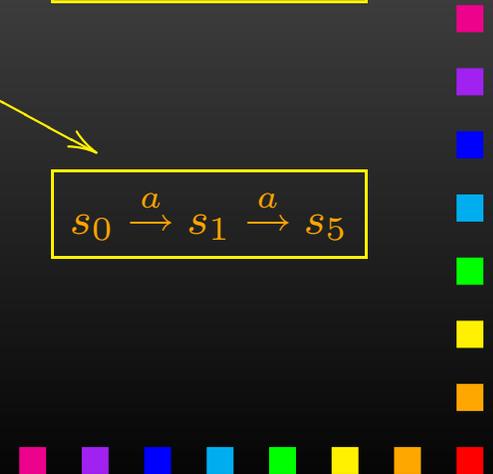
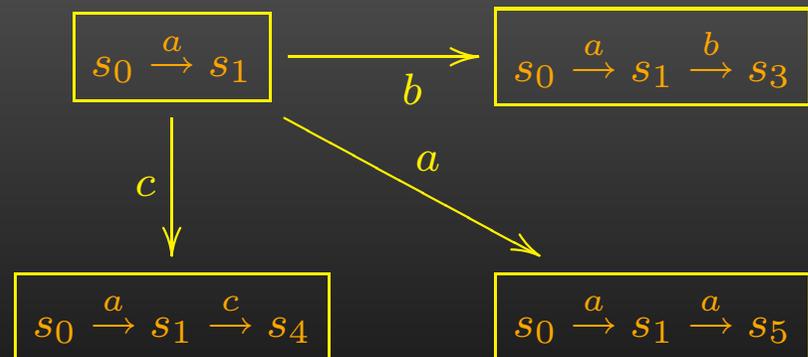
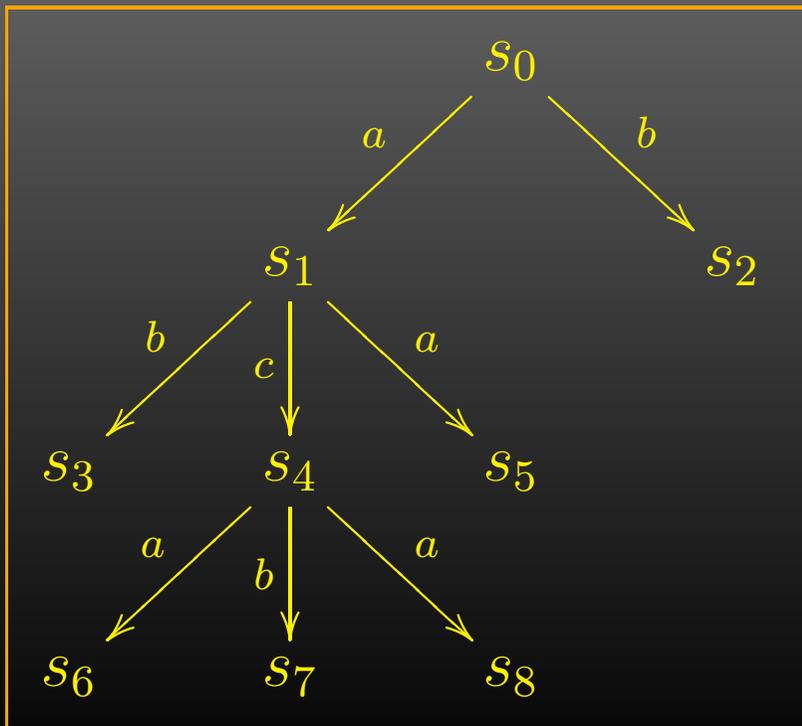
The coalgebra of executions of  $c$  under  $\xi$  is  $\Xi \xrightarrow{c_\xi} \mathcal{P}F(\Xi) \dots$



# Coalgebra under scheduler

$\xi$  - a scheduler for  $c: X \rightarrow \mathcal{PF}(X)$

The coalgebra of executions of  $c$  under  $\xi$  is  $\Xi \xrightarrow{c_\xi} \mathcal{PF}(\Xi) \dots$

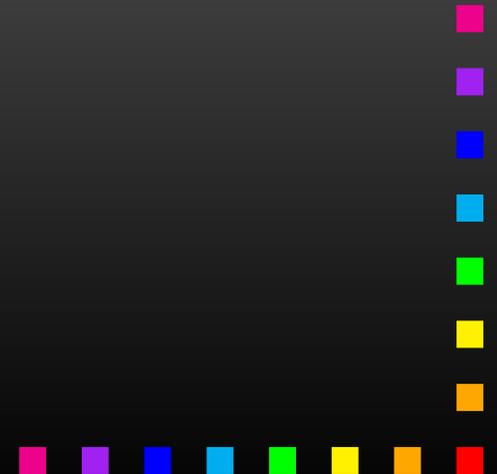


# Scheduler traces

For  $c: X \rightarrow \mathcal{P}F(X)$  we get scheduler traces

$$\text{fstr}_c : X \rightarrow \mathcal{P}I$$

for  $I$  the initial  $F$ -algebra, as



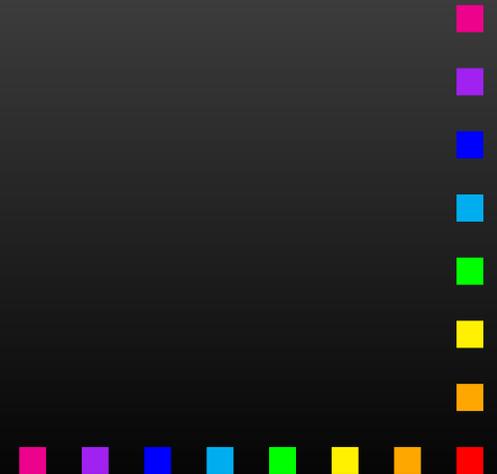
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$$\text{fstr}_c(x) = \bigcup_{\xi} \{\text{ftr}_{c_{\xi}}(\text{in}(x))\}$$



# Scheduler traces

For  $c: X \rightarrow \mathcal{P}F(X)$  we get **scheduler traces**

$$\text{fstr}_c : X \rightarrow \mathcal{P}I$$

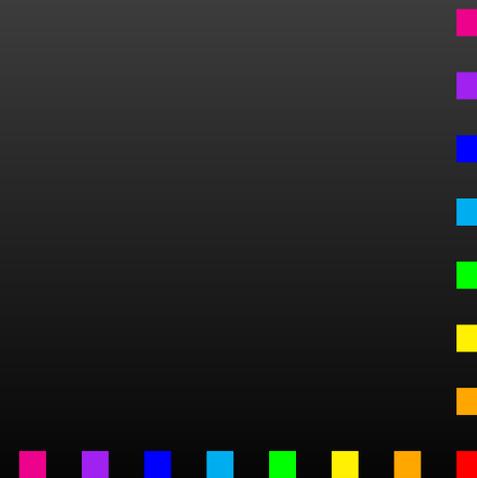
for  $I$  the initial  $F$ -algebra, as

$$\text{fstr}_c(x) = \bigcup_{\xi} \{\text{ftr}_{c_{\xi}}(\text{in}(x))\}$$

**Soundness:** The scheduler traces of a coalgebra are contained in its traces.



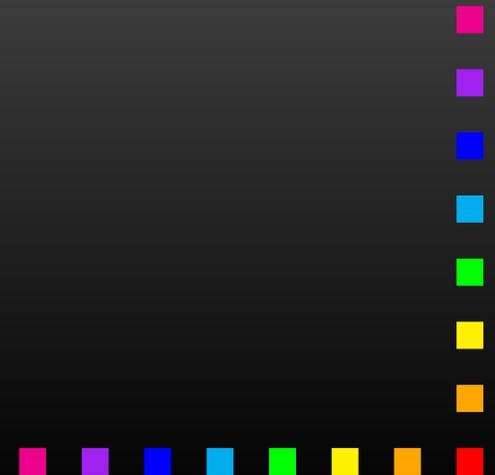
# Completeness?



# Completeness?

Scheduler type  $\sigma$  is **complete** if

**scheduled traces = (fat) traces**



# Completeness?

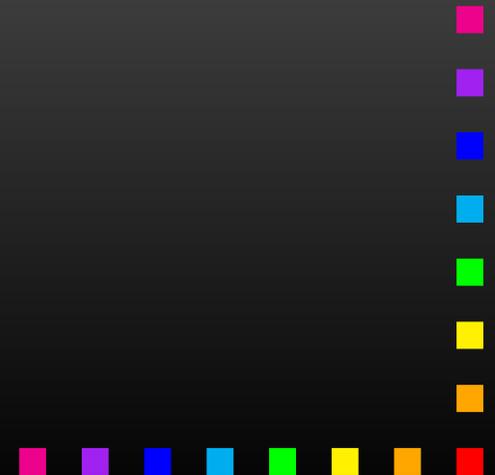
Scheduler type  $\sigma$  is **complete** if

**scheduled traces = (fat) traces**

**Conjecture:**  $\sigma : S \Rightarrow \mathcal{P}$  is complete iff for any set  $X$

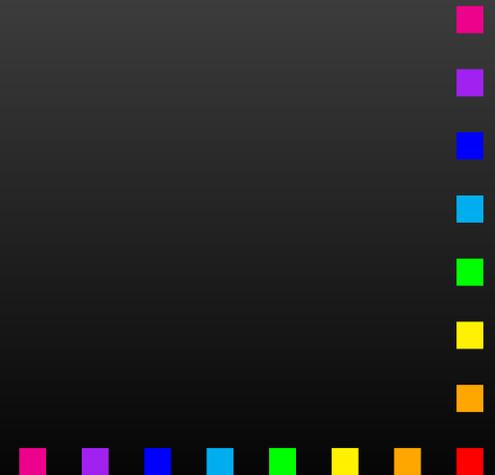
$$\forall x \in X. \exists \alpha \in S. x \in \sigma(\alpha)$$

[anything can be scheduled]



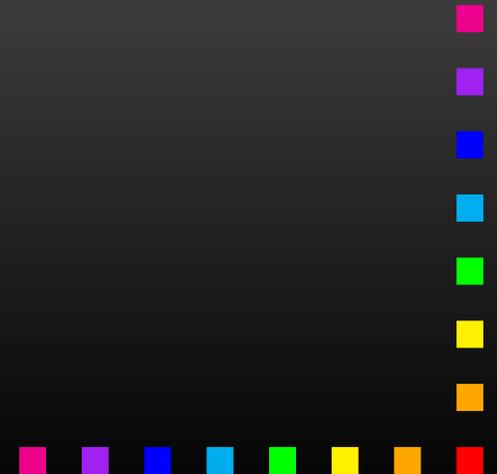
# Conclusions

- \* initial study of schedulers



# Conclusions

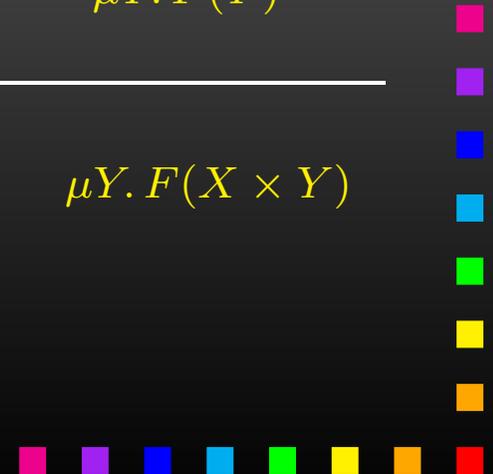
- \* initial study of schedulers
- \* on the way, thin/fat executions and traces



# Conclusions

- \* initial study of schedulers
- \* on the way, thin/fat executions and traces

$X \rightarrow TF(X)$	Thin $\mathcal{P}$	Fat $T$
Traces	$F_{\bullet}(1)^* \times F(0)$ $= \mu Y. F(0) + F_{\bullet}(1) \times Y$	$\mu Y. F(Y)$
Executions	$(F_{\bullet}(X) \times X)^* \times F(0)$ $= \mu Y. F(0) + (F_{\bullet}(X) \times X) \times Y$	$\mu Y. F(X \times Y)$



# Conclusions

- \* initial study of schedulers
- \* on the way, thin/fat executions and traces

$X \rightarrow TF(X)$	Thin $\mathcal{P}$	Fat $T$
Traces	$F_{\bullet}(1)^* \times F(0)$ $= \mu Y. F(0) + F_{\bullet}(1) \times Y$	$\mu Y. F(Y)$
Executions	$(F_{\bullet}(X) \times X)^* \times F(0)$ $= \mu Y. F(0) + (F_{\bullet}(X) \times X) \times Y$	$\mu Y. F(X \times Y)$

- \* many open questions remain

